

Addendum to PQ Application Document for Pre-qualification of Engineering Procurement and Construction (EPC) Contractor for Strengthening of Water Supply Sustainability in Zanzibar, Tanzania

1. PQ Details

PQ Reference: GOILOC-266(P-2)/Tanzania/EPC-97

Addendum No.: 1

Date: December 24, 2024

2. Purpose

Based on the representations received by Exim Bank and discussions in the Pre-PQ meeting held on December 23, 2024, this addendum is issued to amend the following:

- a) Application Submission Due Date
- b) Application Opening Date
- c) Submission of Application: Modification in the Sub-Clause 3.7.1
- d) Details / requirements of Forms to be submitted as per Part-IV of the PQ Document
- e) Upload of the scanned copies of the following forms is being waived off. Only the supporting documents pertaining to the respective forms are required to be uploaded:
 - a. Application Submission Form
 - b. Form: Applicant Information Form
 - c. Form: Details of JV Members
 - d. Form: General Experience
 - e. Form: Specific Experience
 - f. Form: Certified Management System

3. Details of Changes

a) Changes Application Submission and Opening Date

Clause	Condition	Existing Details	Revised Details
1.3.1	Application Due Date and Time	January 09, 2024; 15:00 hrs	January 20, 2024; 15:00 hrs
1.3.1	Physical Submission of Documents	January 09, 2024; 15:00 hrs	January 20, 2024; 15:00 hrs
1.3.1	Opening of Applications	January 09, 2024; 15:30 hrs	January 20, 2024; 15:30 hrs

b) Changes in the Clause 3.7.1

Existing Clause	Proposed Change
ITA 3.7 Submission of Application 3.7.1 The applications shall be submitted online through the web portal which is accessible through https://eprocure.eximbankindia.in Applications submitted in any other manner shall not be considered for opening and evaluation and shall be rejected.	Insert at end of Sub-Clause 3.7.1 “Only the Application, authenticated using the Digital Signature Certificate (DSC) of a person authorised for signing the Application, as evidenced from the Power of Attorney referred to in Clause 3.7.2(b), shall be considered.”

c) Changes in the Forms [Ref: PART IV of PQ Document]

(i) Updated Forms required to be submitted with the Application are Annexed. It is clarified that except for the Forms Annexed to this document, no other forms are required to be submitted by the Applicant. Applicants may specifically note the following:

- i.i Format of the “Application Submission Form” has been updated.
- i.ii Denomination / Unit and Currency of the data to be submitted in the Forms have been updated

(ii) The following forms are required to be certified by an Independent Chartered Accountant

- a) Form: Pending Contract Litigation;
- b) Form: Contract Litigation History;
- c) Form: Financial Status;
- d) Form: Annual EPC Turnover;
- e) Form: Sources of Finance; and
- f) Form: Bid Capacity;

4. Instructions to Applicants

- a) This addendum is being issued in accordance with the provision of the PQ Application Document and forms an integral part of the PQ Application Document.
- b) Except for the changes specified under Para 3 above, all other terms and conditions of the PQ Application Document shall remain unchanged.

Rohan Sharma
Assistant General Manager
Export-Import Bank of India
Infrastructure Group
Office Block, Tower 1
7th Floor, Adjacent Ring Road
Kidwai Nagar [East]
New Delhi -110023
E-mail: infragroup@eximbankindia.in
Website: www.eximbankindia.in

PART-IV: UPDATED APPLICATION FORMS AND APPENDICES

Index of Existing and Revised Forms

Sr. No.	Existing Forms (PQ dated December 9, 2024)	Revised Forms (Addendum dated December 24, 2024)
1	Application Submission Form	Application Submission Form
2	FORM-I: Applicant Information Form FORM-I(A): Details of JV Members	FORM-I: Applicant Information Form FORM-I(A): Details of JV Members
3	FORM-II: Contract Non-Performance	FORM-II: Pending Contract Litigation
4	FORM-III: Pending Contract Litigation	FORM-III: Contract Litigation History
5	Form-IV: Contract Litigation History	Form-IV: Financial Status
6	FORM-V: Financial Status	FORM-V: Annual EPC Turnover
7	FORM-VI: Annual EPC Turnover	FORM-VI: Sources Of Finance
8	FORM-VII: Sources Of Finance	FORM-VII: Bid Capacity
9	FORM-VIII: Bid Capacity	FORM-VIII: General Experience
10	FORM-IX: General Experience	FORM-IX: Specific Experience
11	FORM-X: Specific Experience	FORM-X: Certified Management System
12	FORM-XI: Certified Management System	-
13	FORM-XII: Contractor's Equipment Details	-

14	FORM-XIII: Skilled Human Resource	-
15	FORM-XIV: Manufacturer's Authorization	-
16	FORM-XV: Eligibility Under Public Procurement Orders	-

Application Submission Form

[To be filled by the Applicant / Lead Member of Applicant on behalf of the JV.]

To,

Export-Import Bank of India
7th Floor, Adjacent Ring Road
Kidwai Nagar [East]
New Delhi – 110023

Dear Sir,

Sub: Application Submission and Declaration

Name of Applicant / Lead Member:

Name of JV Member(s), if applicable:

1. With reference to your Application Document dated including Addendums, we, having examined the Application Document and understood its contents, hereby submit our Application for the aforesaid Project. The Application is unconditional and unqualified.
2. I/We acknowledge that Exim Bank will be relying on the information provided in the Application and the documents accompanying the Application for prequalification of the Contractor for the aforesaid Project, and we certify that all information provided in the Application and in Forms, are true and correct; nothing has been omitted which renders such information misleading; and all documents accompanying such Application are true copies of their respective originals.
3. This statement is made for the express purpose of prequalification as an Applicant for the captioned Project and Bidding for the Project thereof.
4. I/We confirm that I/we meet all the criteria specified in the Application Document and agree and undertake to abide by all the terms and conditions of the Application Document and I/we shall make available to Exim Bank any additional information it may find necessary or require to supplement or authenticate the Application. I/We, however, understand that Exim Bank is not bound to seek such additional / supplemental information and may conclude its assessment of this application based on submissions made by me/us herewith.
5. I/We confirm that I/we am/are an Indian entity, as prescribed in the manner provided in the Application Document and I/we do not have our beneficial owners in a country which restricts the participation of bidders from India in its own tendering.

6. I/We agree and understand that the Application is subject to the provisions of the Application Document. In no case, we shall have any claim or right of whatsoever nature if we are not prequalified for the Project or our Application is not opened or rejected in accordance with the terms and conditions of the Application Document.
7. I/We declare that:
 - a) I/We have examined and have no reservations to the Application Document, including any Addendum issued by Exim Bank;
 - b) I/We do not have any Conflict of Interest in accordance with provisions of the Application Document;
 - c) I/We confirm that I/we am/are not under default on any loan to any bank/ financial institution (FI) and our account has not been classified as Non-Performing Asset (NPA). I/ We further confirm that none of our promoters/directors (excluding nominee directors and independent directors) are appearing in Credit Information Bureau India Ltd. (CIBIL) Defaulter List;
 - d) I/we confirm that us or any of our member(s) or promoters / directors (excluding nominee directors and independent directors) have not been reported as fraud under the present promoter / directors by any Bank / Financial Institution in India;
 - e) I/We confirm that we have not been debarred by Exim Bank, in accordance with Exim Bank's Debarment Policy;
 - f) I/We hereby certify that we have taken steps to ensure that in conformity with the provisions of the Application Document, no person acting for us or on our behalf has engaged or will engage in any corrupt, fraudulent, anti-competitive, coercive, undesirable, restrictive or obstructive practices; and
 - g) I/We declare that I/we have the necessary equipment and personnel / have the ability to source the necessary equipment and personnel to undertake the Project in the manner provided herein and within the timelines as may be stipulated;
 - h) I/We confirm that I / we have not instance of contract non-performance as a result of our default;
 - i) I/We confirm that there have not been any records of poor performance during the last five years by us, as on the date of submission of the Application, for projects in which we are acting as the 'Contractor', including but not limited to abandoning the work, rescission of the contract for reasons which are attributable to our non-performance, inordinate delays in completion, consistent history of litigation resulting in awards against us or any of the constituents, or financial failure due to insolvency and/or bankruptcy as evidenced by but not limited to imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award against the us or any of the constituents; and
 - j) I/We confirm that I/we have no record of rescission of contract as a part of a joint venture.
8. Integrity Obligations:

- a) I/We have not directly or indirectly or through an agent engaged or indulged in any corrupt practices, fraudulent practices, anti-competitive practices, coercive practices, undesirable practices, restrictive practices or obstructive practices, as defined in the Application Document, in respect of any tender or request for proposal issued by or any agreement entered into with Exim Bank;
 - b) I/We commit to take all measures necessary to prevent corrupt practices, unfair means and illegal activities during any stage of the Prequalification or Bidding Process.
 - c) I/ We have not, during the Prequalification Process, given, offered or promised to give, directly or indirectly or through intermediaries, any bribe, gift, consideration, reward, favour, any material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any official of Exim Bank, and/or any other intermediary involved in the Prequalification Process connected directly or indirectly with the Prequalification Process, or to any person, organization or third party related to the contract in exchange for any advantage in the bidding, evaluation, contracting and implementation of the Project.
 - d) I/ We will not collude with other parties interested in the Project to impair the transparency, fairness and progress of the Prequalification Process, Bidding Process, Bid evaluation, contracting and implementation of the Project.
 - e) I/ We will not accept any advantage in exchange for any corrupt practice, unfair means and illegal activities.
9. I/We declare that we/ any Member of the Joint Venture, or our/ its Associates are not a member of a/any other Joint Venture submitting an Application for the Project.
10. I/We certify that in regard to matters other than security and integrity of the India, we/ any Member of the Joint Venture or any of our/ their Associates have not been convicted by a Court of Law or indicted or adverse orders passed by a regulatory authority which could cast a doubt on our ability to undertake the Project or which relates to a grave offence that outrages the moral sense of the community. I/We further certify that in regard to matters relating to security and integrity of the country, we/any Member of the Joint Venture or any of our/ their Associates have not been facing any investigation or charge-sheeted by any agency of the Government or convicted by a court of law.
11. I/We further certify that we have not been (a) blacklisted/ debarred/ sanctioned/ suspended from bidding by any Multilateral Development Banks such as World Bank, Asian Development Bank, or Ministry/Authority of Government of India (GOI) or the Authority's Country or Exim Bank, in accordance with extant rules and procedures, or (b) blacklisted, debarred or suspended from bidding by any Ministry / Authority of GOI or of the Ministry / Authority of the Government in Authority's Country (c) convicted for an offense under (i) India's Prevention of Corruption Act, 1988, or (ii) the Bharatiya Nyaya Sanhita, 2023 or (iii) any other law for the time

being in force, for causing any loss of life or property or causing a threat to public health as part of execution of a public procurement contract or (iv) for a criminal action including but not limited to cheating / fraud.

12. I/We further certify that I/we or any member have/has not been admitted by the National Company Law Tribunal (NCLT) for initiating corporate insolvency resolution process under the Indian Bankruptcy Code (IBC).
13. I/We undertake that in case due to any change in facts or circumstances during the Prequalification Process and/or Bidding Process and/or contract execution, we are attracted by the provisions of disqualification in terms of the provisions of the Application Document, we shall intimate Exim Bank of the same immediately.
14. I/We hereby confirm that I/we do not have a close business relationship or family relationship or in its employment any near relations (defined as first blood relations, and their spouses, of the Applicant or the Applicant's spouse) in our employment any near relations of persons involved in decision making in the Project at the Authority / Exim Bank.
15. I/We undertake in the event that we are prequalified and invited to submit a bid, to arrange and deploy all the equipments, required to be deployed at site, in accordance with the PQ Document.
16. I/We undertake in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, in accordance with the PQ Document.
17. I/We undertake, in the event that we are prequalified and invited to submit a bid, to submit manufacturer's authorization(s) in our favour (a) to bid for the supply of equipment for the tender under reference; (b) confirming guarantee / warranty support for the equipment bid for by us; and (c) confirming that the equipment being bid for to be supplied shall be new and unused.
18. I/ we confirm that no legal, financial or technical adviser of the Authority / Exim Bank in relation to the Project is engaged by us.
19. I/We acknowledge the right of Exim Bank to reject our Application without assigning any reason or otherwise and hereby waive, to the fullest extent permitted by Applicable Law, our right to challenge the same on any account whatsoever. I/We hereby irrevocably waive any right or remedy which we may have at any stage at law or howsoever otherwise arising to challenge or question any decision taken by Exim Bank in connection with the Prequalification of the Applicant, or in connection with the Prequalification Process itself, in respect of the above-mentioned Project and the terms and implementation thereof.
20. I/We agree to the provisions of the Public Procurement Orders No.4 dated February 23, 2023, issued by the Procurement Policy Division of the Department of Expenditure, Ministry of Finance, Government of India, and undertake to abide by

the provisions of this Order. I/We have read the Clause regarding restrictions on procurement from a bidder of a country which shares a land border with India and countries that restrict the participation of Bidders / Applicants from India and on sub-contracting to contractors from such countries, and confirm that I/we do not belong to such country in accordance with the provisions of the said order dated February 23, 2024; I/We certify that I/we shall not sub-contract any work to an Agency from such countries unless such Agency is registered with the Competent Authority. I/We hereby certify that I/we fulfil all requirements in this regard and is eligible to be considered. We agree to submit/ ensure that our Sub-contractors shall submit, at Exim Bank's request, evidence of the origin of materials, equipment and services.

21. I/We agree to adhere to the provisions of IDEAS Guidelines, inter alia the requirement of meeting minimum percent (%) Indian Content as defined under the Agreement.

22. I/We recognise and accept that Exim Bank is only financing the projects of the Project Authority subject to its own conditions which are set out in the funding agreement it may/ has entered into with the Project Authority / Borrower. As a matter of consequence, no legal relationship exists between Exim Bank and our company, our Joint Venture or our Sub-contractors. The Project Authority retains exclusive responsibility for the preparation and implementation of the Bidding Process and the performance of the Agreement.

23. I/We further certify that we have not made any misleading or incorrect representations in the forms, statements, affidavits and attachments submitted as a part of the Application.

[Note for Online Submission: Physical Document / Hard Copy is not required to be submitted or uploaded. Details to be entered in PQ Software]

FORM-I: Applicant Information Form

[To be filled by Applicant if being a Single Entity or as Lead Member of JV.]

Applicant's name: <i>[insert full name]</i>
Percentage Share in JV <i>[Mention 100% in case the Applicant is single entity without a JV]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's GST Number:
Applicant's PAN:
Applicant's authorized representative information: Name: <i>[insert full name]</i> Designation: <i>[insert designation]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
Applicant's Authorised Representative Photo ID Document:
Applicant's Authorised Representative Photo ID Number:

In case of a JV, Information regarding the role of each Member should be provided as per table below:

Sr. No.	Name of Member	Percentage of share in the JV
1.		
2.		

Supporting certificates / documents, for Applicant or for each member of JV in case of a JV Applicant, to be submitted as below:

- a. Copy of Memorandum and Articles of Association, if the Applicant is a body corporate, and if a partnership then a copy of its partnership deed or any other equivalent document for entities other than body corporate/ partnership
- b. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration
- c. PAN and GST Registration Details
- d. Organizational chart
- e. List of Board of Directors with their complete designation in case of nominee directors
- f. The beneficial ownership with respective shareholding and nationality of shareholders
- g. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant
 - i. Where Applicant is a single entity, the Power of Attorney as per format at **Appendix-I**;
 - ii. Where Applicant is a JV, the Power of Attorney by each member of JV as per the format at **Appendix-II**;
 - iii. A copy of relevant Company Board Resolution referred to item (g).
 - iv. Letter of intent as per format at **Appendix-III** to form JV, in case of JV.
- h. A Government issued document for photo identification of the Authorised Signatory

[Note for Online Submission: Physical Document / Hard Copy is not required to be submitted or uploaded. Details to be entered in PQ Software]

FORM-I(A): Details of JV Members

[The following form is in addition to Form I and applicable to the JV Members only. Details to be provided for each JV. Hard Copy not required to be submitted or uploaded. Data to be entered in the PQ Software]

JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
JV Member's country* of registration: <i>[indicate country of registration]</i>
JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i>
JV Member's legal address registered in India: <i>[insert street/ number/ town or city/ country]</i>
JV Member's GST Number
JV Member's PAN Number
JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>

Supporting certificates / documents:

- a. Copy of Memorandum and Articles of Association, if the Applicant is a body corporate, and if a partnership then a copy of its partnership deed or any other equivalent document for entities other than body corporate/ partnership
- b. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration
- c. PAN and GST Registration Details
- d. Organizational chart
- e. List of Board of Directors with their complete designation in case of nominee directors
- f. The beneficial ownership with respective shareholding and nationality of shareholders
- g. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant

- i. The Power of Attorney by each member of JV as per the format at **Appendix-II**;
 - ii. A copy of relevant Company Board Resolution referred above.
 - iii. Letter of intent as per format at **Appendix-III** to form JV, in case of JV.
- h. A Government issued document for photo identification of the Authorised Signatory

Notes:

a) The Form should be filled for each member of the JV

b) For Online Submission: Physical Document / Hard Copy is not required to be submitted or uploaded. Details to be entered in PQ Software

FORM-II: Pending Contract Litigation
[Ref Clause 2.7]

Pending Litigation:

Are there any instances of Pending Contract Litigations: Yes / No

If any Contract Litigation is Pending, provide the following details:

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

PQ No. and title: *[insert PQ number and title]*

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Amount in INR Crore

Year of dispute	Amount in dispute (original currency and amount)	Contract Identification	Amount in dispute (equ INR Crore) (Exchange Rate used, if any)	Amount of specific provision already made, if any (INR Crore)
<i>[insert financial year]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i> Contract Value: <i>[Value in Original Currency]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount]</i>
	<i>Total:</i>			<i>Total:</i>

*Registration No/ Membership No:
(of the independent chartered accountant)
Date:
Place:
UDIN:*

Stamp

Notes: The Form should be filled for each member of the JV and forms should be certified by an Independent Chartered Accountant

Form-III: Contract Litigation History
[Ref Clause 2.8]

Are there any instances of litigation since the date preceding five (5) years from the Application Due Date: [Yes / No]

If Contract Litigation Awarded, provide details:

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

PQ No. and title: *[insert PQ number and title]*

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Amount in INR Crore

Year of award	Award against the Applicant (in Original Currency)	Award against the Applicant (Amount in INR Crore) (Exchange Rate used, if any)	Contract Identification	Total Contract Amount (INR Crore)
<i>[insert financial year]</i>		<i>[insert Amount]</i>	Contract Identification: [indicate complete contract name, number, date, value and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert Amount]</i>
		<i>Total:</i>		

Registration No/ Membership No:
(of the independent chartered accountant)

Stamp

Date:

Place:

UDIN:

Notes: The Form should be filled for each member of the JV and forms should be certified by an Independent Chartered Accountant

FORM-IV: Financial Status
[Ref Clause 2.9(a) and 2.9(b)]

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the independent chartered accountant. Failure to comply with this requirement may result in rejection of the Application.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

PQ No. and title: *[insert PQ number and title]*

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Financial data

Amount in INR Crore

Type of Financial information	Historic information for previous five (5) years				
	CY-4 Years	CY-3 Years	CY-2 Years	CY-1 Year	CY [Current Year]
Share Holder's Fund					
Loan Fund					
Application of Fund					
Fixed Assets					
Investments					
Net Current Assets					
(i) Current assets, loans and advances					
Less: (ii) Current liabilities & provisions					
Misc. exp. to the extent not W/Off or adjusted					
Profit and Loss Account					
Net Worth					
Net Worth					
Information from Income Statement					
Income					
Expenditure					
Profit/(Loss) Before Tax (PBT)					
Profit/(Loss) After Tax (PAT)					

Information From Cash Flow Statement					
Cash Flow from Operating Activities					
Cash Flow from Investments					
Cash Flow from Financing Activities					

** Refer Clause 1.4 [Reporting Currency] for conversion and source of exchange rate*

Registration No/ Membership No:
(of the independent chartered accountant)

Stamp

Date:
Place:
UDIN:

Supporting certificates / documents, for Applicant or for each member of JV in case of a JV Applicant, to be submitted as below:

- a. Copies of duly audited complete annual accounts¹ of the Applicant and of each member (in case of Joint Venture) for preceding 5 years as specified in Sub-Clause 2.9.1 which shall:
 - i. reflect the financial situation of the Applicant or in case of JV for each member, and not of an affiliated entity (such as parent company or group member);
 - ii. be independently audited or certified in accordance with local legislation;
 - iii. be complete, including all notes to the financial statements;
 - iv. correspond to accounting periods already completed and audited.
- b. Audited Annual Reports which shall be duly signed and stamped by Statutory Auditor. Notwithstanding the same, in case the Applicant is an entity where Statutory Auditor is not required to be appointed under applicable law, a certificate from an independent chartered accountant may be provided by such Applicant;

¹ Provided that in case the annual accounts for the latest Accounting Year are not audited and therefore the Applicant cannot make it available, the Applicant shall provide provisional results for the latest Accounting Year. In such a case, the Applicant shall also provide the Audited Annual Reports for 5 (five) years preceding the year for which the Audited Annual Report is not being provided.

FORM-V: Annual EPC Turnover
[Ref: Clause 2.9(c)]

[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the independent chartered accountant. Failure to comply with this requirement may result in rejection of the Application.]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

PQ No. and title: *[insert PQ number and title]*

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Year	EPC Turnover Amount (INR Crore)			Total Turnover (INR Crore)	Exchange Rate used	Total Turnover (Equ. USD Mn)
	Contracts in India	Overseas Contracts	Total EPC Turnover			
	[A]	[B]	C = [A+B]			

** Refer Section 1.4 [Reporting Currency] for source of exchange rate. Exchange rate prevalent on 31st March shall be used to calculate turnover for respective financial year.*

Registration No/ Membership No:
 (of the independent chartered accountant)

Stamp

Date:

Place:

UDIN:

FORM-VI: Sources of Finance

[Ref: Clause 2.9(d)]

[Specify sources of finance to meet the cash flow requirements for contracts currently in progress]

Applicant's Name: [insert full name]

Date: [insert day, month, year]

PQ No. and title: [insert PQ number and title]

Information pertaining to: [Insert name of JV leader or JV member/s]

VII.1 Details of Credit Facilities available to the Applicant

Amount in USD Mn

Sr. No.	Type of Facility	Sanctioned Limit	Utilised Limit	Unutilised Limit
A.	Fund Based Limits			
	a.			
	b.			
	c.			
B.	Total Fund Based			
C.	Non-fund Based Limits			
	A			
	B			
	C			
D.	Total Non –fund Based			
E.	Total Fund and Non-fund Based Limit			

VII.2 Details of Liquid Assets such as cash and bank balance, marketable securities and any other financial means which may be used meet the cash flow requirements for works currently in progress by the Applicant

Amount in USD Mn

Sr No	Type of Liquid Assets	Amount
1.		
2.		

3.		
----	--	--

Registration No/ Membership No:

Stamp

(of the independent chartered accountant)

Date:

Place:

UDIN:

Supporting documents / certificates for Applicant or for each member of JV in case of a JV Applicant to be submitted as below:

- a. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks.

FORM-VII: Bid Capacity
[Ref: Clause 2.10]

[The following table shall be filled in for the Applicant and for each member of a Joint Venture] and shall be certified by the independent chartered accountant of the Applicant/each JV member. Failure to comply with this requirement may result in rejection of the Application.]

Amounts in USD Mn
 Applicant's Name: *[insert full name]*
 Date: *[insert day, month, year]*
 PQ No. and title: *[insert PQ number and title]*
 Information pertaining to: *[Insert name of JV leader or JV member/s]*

Contract Identification	Role of Applicant	Start Date	Contractual Completion Date	Intended/ Revised Completion Date	Residual period for completion (in years)	Residual value of Work (in USD Mn)	Annual Residual value of Work (in USD Mn)
1	2	3	4	5	6	7	[7/6]
Contract Ref: Brief Title of the works: Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and Reporting Currency*]</i> Name & Address of Employer:	<i>[insert "Prime Contractor" or "JV Member"]</i>	<i>[indicate date as dd/mmm/yyyy]</i>	<i>[indicate date as dd/mmm/yyyy]</i>	<i>[indicate date as dd/mmm/yyyy]</i>	<i>[Insert period in years starting from Bid Due Date. In case less than a year, value to be considered shall be 1]</i>	<i>[Insert Amount including amount billed but pending payment up to deadline for submission of Bid]</i>	<i>[Insert Amount]</i>

* Exchange rate prevalent as on the working day preceding the date of Invitation for

Application shall apply to ascertain residual value in Reporting Currency equivalent. Refer Clause 1.4 [Reporting Currency] for source of exchange rate.

Registration No/ Membership No:
(of the independent chartered accountant)
Date:
Place:
UDIN:

Stamp

Notes: The Form should be filled for each member of the JV and forms should be certified by an Independent Chartered Accountant

FORM-VIII: General Experience
[Ref: Clause 2.11]

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, for each Member]

Applicant's Name: *[insert full name]*
 Date: *[insert day, month, year]*
 PQ No. and title: *[insert PQ number and title]*
 Information pertaining to: *[Insert name of JV leader or JV member/s]*

[Identify contracts that demonstrate similar experience in India. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]

Amount in USD Mn

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert Amount in currency, mention currency used, exchange rate and Reporting Currency equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>	<i>[indicate date as dd/ mmm/ yyyy]</i>		<i>[insert "Prime Contractor" or "JV Member"]</i>

Supporting certificates / documents, for Applicant or for each member of JV in case of

a JV Applicant, to be submitted as below:

- a. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract submitted under this Clause, giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority.
- b. In case the contracts submitted under this Clause are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted:
 - i. Copy of Letter of Acceptance of the bid/grant of concession issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and
 - ii. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant;
- c. In case the contracts submitted under this Clause are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV and the JV Agreement to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.

FORM-IX: Specific Experience

[Ref: Clause 2.12]

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

PQ No. and title: *[insert PQ number and title]*

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Amount in USD Mn

Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mmm/yyyy]</i>		
Completion date	<i>Contractual:</i> <i>[dd/mmm/yyyy]</i>	<i>Actual:</i> <i>[dd/mmm/yyyy]</i>	
Role in Contract <i>[check the appropriate box]</i>	<input type="checkbox"/> Prime Contractor	<input type="checkbox"/> Member in JV	
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>	<i>[insert Exchange rate and total contract amount in Reporting Currency equivalent]*</i>	
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in Reporting Currency equivalent] *</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity	<i>[Name the Sector/ Sub-sector] [Briefly mention the similarity in terms of sectoral characteristics and technical aspects listed in Sub-Factor D.2]</i>		
For the above contract, mention the following attributes			
1. Capacity/ Physical size of key works items	<i>[insert capacity/ physical size of key work items]</i>		
2. Complexity	<i>[insert description of complexity in accordance with the technical aspects mentioned under Part IV D.2]</i>		
3. Methods/ Technology	<i>[insert specific aspects of the methods/ technology involved in the contract]</i>		
4. Rate of execution for key	<i>[insert execution rates for key items]</i>		

items	
5. Other Characteristics	<i>[insert other characteristics as appropriate]</i>

Note: Rate implies the quantity executed in unit time e.g. cubic meters of earthwork per annum, etc.

** Refer Clause 1.4 [Reporting Currency] for date and source of exchange rate.*

Supporting certificates / documents, for Applicant or for each member of JV in case of a JV Applicant, to be submitted as below:

- a. Final Acceptance/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract submitted under this Clause, giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority.
- b. In case the contracts submitted under this Clause are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted:
 - i. Copy of Letter of Acceptance of the bid/grant of concession issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and
 - ii. Copy of Concession Agreement indicating the shareholding structure of the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant.
- c. In case the contracts submitted under this Clause are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV and the JV Agreement to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.

FORM-X: Certified Management System

[Ref: Clause 2.13]

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: [insert full name]

Date: [insert day, month, year]

PQ No. and title: [insert PQ number and title]

Information pertaining to: [Insert name of JV leader or JV member/s]

ISO Accreditation/ Certified Management System	Process/ Discipline Certified/ Inclusions	Certification Start Date	Certification Valid up to	Certifying Organization
<i>[indicate the quality management system accreditation]</i>	<i>[indicate certificate identification number and mention which process or discipline has been certified and inclusions]</i>	<i>[dd/mmm/yyyy]</i>	<i>[dd/mmm/yyyy]</i>	<i>[indicate the name of the certifying organization and contact details]</i>

Supporting certificates / documents, for Applicant or for each member of JV in case of a JV Applicant, to be submitted as below:

Copy of the certifications mentioned above.