

ADDENDUM NO. II (DATE: 09/02/2024) REF NO: GOILOC-370/DR CONGO/EPC-95

PRE-QUALIFICATION OF INDIAN ENGINEERING, PROCUREMENT AND CONSTRUCTION (EPC) CONTRACTORS FOR UNDERTAKING BALANCE CIVIL, HYDRO-MECHANICAL, SWITCHYARD, TRANSMISSION AND OTHER MISCELLANEOUS WORKS UNDER KATENDE HYDRO ELECTRIC POWER PROJECT (4 X 16 MW) IN DEMOCRATIC REPUBLIC OF CONGO - ADDENDUM NO. II: EXTENSION OF THE DEADLINE FOR APPLICATION SUBMISSION AND MODIFICATION IN PART IV. PREQUALIFICATION CRITERIA AND REQUIREMENTS

(A) EXTENSION OF THE DEADLINE FOR APPLICATION SUBMISSION

Part III. Data Sheet (DS) to ITA

[i] ITA 16.1 and ITA 18.1: C. Preparation, Submission & Opening of Applications is to be read as under:

ITA 16.1	<p>Submission of Application is required in off-line mode as mentioned in ITA 10.1(d).</p> <p>The deadline for Application submission is:</p> <p>Date: March 08, 2024</p> <p>Time: 15:00 hrs.</p> <p>For application submission purposes only, the Bank's address is:</p> <p>Bank's address is the same as that indicated in ITA 2.1</p> <p>Attention: Ms. Amita Dang, Assistant General Manager</p>
ITA 18.1	<p>The opening of the Applications shall be at the Bank's address as indicated in ITA 2.1</p> <p>Date: March 08, 2024</p> <p>Time: 15:30 hrs</p>

(B) MODIFICATION IN PART IV. PREQUALIFICATION CRITERIA AND REQUIREMENTS

C.2 Value of ongoing contracts	Existing Criteria	Revised Criteria
Requirement	<ul style="list-style-type: none"> ➤ Aggregate annual residual value⁶ of all ongoing contracts shall not be more than 350% of the highest annual turnover in last five (5) years as defined above. In case of JV, this shall apply to each member. ➤ Documentation and Attachments to be submitted as mentioned below. 	<ul style="list-style-type: none"> ➤ Aggregate annual residual value⁶ of all ongoing contracts shall not be more than 450% of the highest annual turnover in last five (5) years as defined above. In case of JV, this shall apply to each member. ➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form C.2.1 certified by Chartered Accountants	Form C.2.1 certified by Chartered Accountants
Attachments to be submitted:	None	None

D.1 General Experience	Refer Part I: Scope of Supplies	
	Existing Criteria	Revised Criteria
Requirement:	<ul style="list-style-type: none"> ➤ Experience under Construction/ EPC contracts relating to Hydro Power Project in the role of prime contractor or JV member⁷ to the main client, in India that have been satisfactorily completed during the last seven (7) years, starting from January 01, 2017 and up to application submission deadline. (In case of JV, each member shall meet the requirement). ➤ Documentation and Attachments to be submitted as mentioned below. 	<ul style="list-style-type: none"> ➤ Experience under Construction/ EPC contracts relating to Hydro Power Project in the role of prime contractor or JV member to the main client, in India that have been satisfactorily completed during the last seven (7) years, starting from January 01, 2017 and up to application submission deadline. (In case of JV, lead member shall meet the requirement and other members of JV (other than Lead member) must have experience under Construction/ EPC contracts in Infrastructure sector such as (Highways/ Railways/ Metro/ Hydro Power projects) in the role of prime contractor or JV member to the main client, in India.)

		➤ Documentation and Attachments to be submitted as mentioned below.
Documentation to be submitted:	Form D.1.1	Form D.1.1
Attachments to be submitted:	<ul style="list-style-type: none"> ➤ Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the main client/Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates. In case of projects executed through a Special Purpose Vehicle (SPV) under a concession agreement, FAC shall be issued by the Concession Granting Authority indicating that the project was completed by the said SPV. ➤ Certificate from the SPV or Concession Granting Authority indicating that the project was executed by the Applicant or JV/consortium comprising the Applicant as the EPC contractor. ➤ In case the contracts listed in Form D.1.1 are executed by the Applicant through an SPV under a concession agreement, either singly or in joint venture, the following additional documents/information should be submitted: <ul style="list-style-type: none"> ❖ Copy of Letter of Acceptance of the bid/grant of concession issued by the Concession Granting Authority in favour of the Applicant or a JV comprising the Applicant; and ❖ Copy of Concession Agreement indicating the shareholding structure of 	➤ No change.

	<p>the SPV establishing that the SPV is wholly held by the Applicant or by a JV comprising the Applicant;</p> <ul style="list-style-type: none"> ➤ In case the contracts listed in Form D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between main client/Project Authority and JV and the JV Agreement to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work. Subcontractors' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance. ➤ Subcontractors' experience and resumes, if any, submitted with the application will not be taken into account in determining the Applicant's compliance. 	
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D.2 Specific Experience	Refer Part I: Scope of EPC for details	
	Existing Criteria	Revised Criteria
Requirement:	<p>1. At least the number and value of similar construction/ EPC contracts in setting up Hydro Electric Power Project as mentioned below, in the role of prime contractor or JV member¹⁰ to the main client/project employer, that have been satisfactorily completed between January 01, 2017 and application submission deadline:</p>	<p>1. At least the number and value of similar construction/ EPC contracts in setting up Hydro Electric Power Project, as mentioned below, in the role of prime contractor or JV member¹⁰ to the main client/project employer, that have been satisfactorily completed between January 01, 2017 and application submission deadline:</p>

	<table border="1"> <thead> <tr> <th></th> <th>No. of Contracts</th> <th>Project Size in USD million</th> </tr> </thead> <tbody> <tr> <td>Option I</td> <td>3</td> <td>66.00</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option II</td> <td>2</td> <td>82.50</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option III</td> <td>1</td> <td>132.00</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <th></th> <th>No. of Contracts</th> <th>Project Size</th> </tr> <tr> <td>Option I</td> <td>3</td> <td>25 MW</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option II</td> <td>2</td> <td>32 MW</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option III</td> <td>1</td> <td>51 MW</td> </tr> </tbody> </table>		No. of Contracts	Project Size in USD million	Option I	3	66.00	OR			Option II	2	82.50	OR			Option III	1	132.00	OR			OR				No. of Contracts	Project Size	Option I	3	25 MW	OR			Option II	2	32 MW	OR			Option III	1	51 MW	<table border="1"> <thead> <tr> <th></th> <th>No. of Contracts</th> <th>Project Size in USD million</th> </tr> </thead> <tbody> <tr> <td>Option I</td> <td>3</td> <td>41.00</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option II</td> <td>2</td> <td>49.50</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option III</td> <td>1</td> <td>99.00</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <th></th> <th>No. of Contracts</th> <th>Project Size</th> </tr> <tr> <td>Option I</td> <td>3</td> <td>16 MW</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option II</td> <td>2</td> <td>19 MW</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option III</td> <td>1</td> <td>38 MW</td> </tr> </tbody> </table>		No. of Contracts	Project Size in USD million	Option I	3	41.00	OR			Option II	2	49.50	OR			Option III	1	99.00	OR			OR				No. of Contracts	Project Size	Option I	3	16 MW	OR			Option II	2	19 MW	OR			Option III	1	38 MW
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	<p>2. The construction/EPC scope under the above contracts shall collectively or under other completed contracts demonstrate experience since January 01, 2014 involving the following:</p> <p>(a) Civil work related to Power House.</p> <p>(b) design, manufacturing, supply, storage, preservation, erection and commissioning of Switchyard equipment in a Power Project.</p> <p>(c) Construction of Regulating Structure(s).</p>	<p>2. The construction/EPC scope under the above contracts shall collectively or under other completed contracts demonstrate experience since January 01, 2014 involving the following:</p> <p>(a) Civil work related to Power House.</p> <p>(b) design, supply, storage, preservation, erection and commissioning of Switchyard equipment in a Power Project.</p> <p>(c) Construction of Regulating Structure(s).</p>																																																																																				

		<p>In case if past experience criteria is not met in one or more technical aspects listed in 2(a) to 2(c) above; the applicant shall submit a declaration, as per format enclosed at Annexure, undertaking to (i) furnish a list of Sub-Contractors along with the scope of the work of the sub-contractor during Tendering stage, and (ii) upon award of contract, shall appoint the sub-contractor with approval of the Project Authority and under intimation to Exim Bank.</p>
	<p>3. The Applicant shall submit the completion certificate as document of proof for completion of contract(s) of similar nature of work and mention the date and cost of completion of the contract.</p> <p>4. In case of JVCA, the requirements shall be met as under:</p> <p>(i) For (1) above:</p> <p>a. Eligibility in terms of Project Size shall be met by each JV member, and cannot be aggregated.</p> <p>b. Eligibility in terms of the number of contracts under Options I & II can be aggregated across the JV members.</p>	<p>3. No Change</p> <p>4. In case of JVCA, the requirements shall be met as under:</p> <p>(i) For (1) above:</p> <p>a. Eligibility in terms of Project Size shall be met by each member of JV member and cannot be aggregated.</p> <p><i>However, members other than lead member must have experience under Construction/ EPC contracts in Infrastructure sector such as (Highways/Railways/Metro/Hydro Power projects) in the role of prime contractor or JV member to the main client.</i></p> <p>b. Eligibility in terms of the number of contracts under Options I & II can be aggregated across the JV members.</p> <p>(ii) For (2) above: Past experience of JV member fully meeting one or more</p>

	<p>(ii) For (2) above: Past experience of JV member fully meeting one or more technical aspects listed in 2(a) to 2(c) above, may be considered on combined basis for meeting the experience required at (2) above.</p> <p>5. In addition to the above, each member of JV shall demonstrate experience of at least one contract of 50% of the value/Project Size indicated under Option I in (1) above, and has also demonstrated experience similar to at least one of the technical aspects listed in (a) to (c) in (2) above.</p> <p>6. Subcontractors' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</p> <p>7. Documentation and Attachments to be submitted as mentioned below.</p>	<p>technical aspects listed in 2(a) to 2(c) above, may be considered on combined basis for meeting the experience required at (2) above.</p> <p>5. In addition to the above, each member of JV shall demonstrate experience of at least one contract of 50% of the value/Project Size indicated under Option I in (1) above in executing Hydro Electric Power Project or Infrastructure sector such as (Highways/Railways/Metro) in the role of prime contractor or JV member to the main client.</p> <p>6. No Change</p> <p>7. No Change</p>
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Any questions or clarifications on the above may be addressed to:

Ms. Amita Dang
Assistant General Manager
Export-Import Bank of India
Lines of Credit Group
Office Block, Tower 1
7th Floor, Adjacent Ring Road
Kidwai Nagar [East]
New Delhi -110023
E-mail: eximloc@eximbankindia.in
Website: www.eximbankindia.in

Form D.2.2

Specific Experience – Declaration for Sub-Contractor

[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.2.2]

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake to (i) furnish a list of Sub-Contractors along with the scope of the work of the sub-contractor during Tendering stage, and (ii) upon award of contract, shall appoint the sub-contractor with approval of the Project Authority and under intimation to Exim Bank.

[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]