

**Application Document**  
for  
Prequalification of  
Project Management Consultants [PMC]  
For Development of Sports Infrastructure  
Projects in the Republic of Maldives

**GOI Lines of Credit Project under the Indian Development and  
Economic Assistance Scheme (IDEAS)**

**Export-Import Bank of India  
Date: October 20, 2022**

## INVITATION FOR PREQUALIFICATION OF PROJECT MANAGEMENT CONSULTANTS (PMC) FOR GOVERNMENT OF INDIA LINE OF CREDIT (LOC) PROJECT

The Export-Import Bank of India [hereafter Exim Bank, or the Bank], on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments/ their nominated agencies in developing countries for financing projects involving procurement of goods, civil works, consultancy and non-consultancy services to be contracted to Indian entities.

These Lines of Credit (LOCs) are governed by the Guidelines issued by the GOI from time-to-time. As per the extant guidelines issued vide letter No. 5/7/2019-IDEAS dated March 31, 2022, the Bank is required to carry out a prequalification exercise and provide a list of prequalified bidders to the Borrower for invitation of bids for specific contract packages under the project(s) financed by the LOCs.

In pursuance to the above, the Bank invites Applications from eligible Indian Consultants for prequalification of Project Management Consultant (PMC) for Development of Sports Infrastructure in the Republic of Maldives under a Line of Credit extended to the Government of Maldives.

An upfront and non-refundable Processing Fee of INR 25,000 plus applicable GST (presently 18%) is payable through NEFT transfer as per details given below:

[i]	Applicant's Name	<Applicant's Name>
[ii]	Name of Beneficiary	Export-Import Bank of India
[iii]	Beneficiary's Bank Name	Export-Import Bank of India
[iv]	Account No.	HO00INR11011004
[v]	IFSC Code	EIBI0HO0001
[vi]	GST Number	27AAACE2769D1ZS
[vii]	Narration to be inserted for NEFT	GOILOC-351/Maldives/CS-56

The preparation and submission of Application for Prequalification is **required in off-line mode** in accordance with the provisions of the Application Document.

Completed Applications (offline) in accordance with the provisions of the Application Document along with NEFT acknowledgement receipt should be delivered physically by 15:00 Hours Indian Standard Time, November 21, 2022 (Monday) at the following address:

Mr. Sandeep Kumar  
Deputy General Manager  
Lines of Credit Group  
Export-Import Bank of India  
Office Block, Tower 1  
7th Floor, Adjacent Ring Road  
Kidwai Nagar [East]  
New Delhi – 110023  
E-mail: [eximloc@eximbankindia.in](mailto:eximloc@eximbankindia.in)  
Website: [www.eximbankindia.in](http://www.eximbankindia.in)

This Application Document (AD) consists of the following Parts:

**Part I. Scope of Consultancy Services**

This Part includes a summary description and other data on this prequalification process and of the prospective project to be contracted by the Borrower Government/ Procuring Entity subsequent to this prequalification.

**Part II. Instructions to Applicants (ITA)**

This Part provides information to the Applicants in preparing and submitting their Applications for Prequalification (“Applications”) and the procedures for this prequalification process.

**Part III. Data Sheet (DS) to ITA**

This Part includes specific provisions that supplement Part II, Instructions to Applicants.

**Part IV. Prequalification Criteria and Requirements**

This Part specifies the criteria and requirements and the procedure to be used to determine how Applicants shall be prequalified and later to be invited to bid by Borrower Government/Procuring Entity.

**Part V. Forms**

This Part includes the Application Submission Form and other forms required to be submitted with the Application.

**Part VI. Questionnaire for Applicants**

This questionnaire requires the prospective Applicants to furnish certain basic information and confirm certain facts relating to their firm/ joint venture with respect to past performance and prospective engagement.

# **Application Document**

for

**Prequalification of Project Management  
Consultants [PMC]**

**for Development of Sports Infrastructure  
projects in the Republic of Maldives**

**Invitation for Prequalification  
No.: GOILOC-351/Maldives/CS-56**

**Issued on: October 20, 2022**

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# **Part I: Scope of Consultancy Services**

The Export-Import Bank of India (Exim Bank or the Bank), on behalf of the Government of India (GOI), has approved financing of a project out of a Line of Credit (LOC) extended to the Govt. of Maldives for Development of Sports Infrastructure projects. A part of the LOC shall be utilized for project management consultancy assignment for which Exim Bank now seeks applications for prequalification from eligible Indian Consultants.

Refer Part II ITA 4 for eligibility provisions.

## **A] Background and Major Components of the Project**

The Ministry of Youth, Sports & Community Empowerment, Government of Maldives is developing various stadiums and sports fields across Republic of Maldives to develop athletes in Maldives which can compete on International Stage and overall development of Sports in Maldives. These projects are financed through a GOI-Line of Credit from the Export Import Bank of India to the Government of Republic of Maldives. The proposed projects are enlisted below-

<b>S. No</b>	<b>Sport</b>	<b>Venues</b>
1.	Synthetic track	Hdh Kulhudhuffushi
		GDh. Thinadhoo
		GN. Fuvahmulah
		S. Hithadhoo
2.	Football	Galolhu National Stadium, Male'
3.	Basketball	Male'
4.	Volleyball Center	Male'
5.	Table Tennis Center	Male'

## **B] Objectives**

1. The proposed facilities will be at par with the best in the industry worldwide, offering an efficient and quality setting for international as well as national sports events. To provide a highly cost-effective low maintenance facility.
2. Strengthen the national infrastructure capacity in tourism and hospitality business by allowing the nation to host State & National tournaments and events frequently.
3. To act as a catalyst for complementary development in the surrounding area.
4. To provide a facility that can be utilised all year for several events, to maximize opportunity for revenue generation and sustainability.
5. To provide Iconic facilities that reflects the growth of sports in the region.
6. To provide a sustainable development that will enhance public utilisation of facilities that will control and mitigate effects on local communities surrounding the facility.
7. These Sports Centres will act like anchor projects within the precinct and

- will act as a catalyst in promoting sports activities and events in the region.
8. To provide a design that adheres to the principles of Environmentally Sustainable Design with a minimal ecological footprint.

### **C] Implementation Agency**

The implementing agency for this project will be **Ministry of Youth, Sports, and Community Empowerment, Government of Maldives (GOM)**. These projects will be implemented with close coordination with island councils and Local Government Authority in Maldives.

Ministry of Youth, Sports and Community Empowerment, GOM has carried out number of infrastructure projects successfully and launched to public including the development of synthetic tracks, swimming pools and other infrastructure. Ministry also has carried out several projects in islands with coordination of councils successfully. This process has led to development of several football pitches and sports infrastructure in the islands.

### **B] Scope of PMC Services**

Government of Republic of Maldives now seeks EOI from Indian consultancy firms to act as the **Project Management Consultant** for the project management of the upcoming Development of Sports Infrastructure projects in the Republic of Maldives (hereinafter collectively to be referred as the “Project”).

**The consultant shall undertake full administration of the Contract and supervise the works, assuming the role of the “Employer’s Representative” as defined in the FIDIC Conditions of Contract for EPC/Turnkey Contract 2nd Ed (2017 Silver Book).**

The specific services of the Project Management Consultant shall be the following but not limited to:

#### **I. Review of the Design**

1. Review and approve the detail designs and technical documentation prepared by the contractor to ensure it meets the employer’s requirement and all the conditions specified in the EPC Contract and verify that correct practices were used in the design.
2. Review the design program of the Contractor with respect to feasibility, critical paths, achievement of milestones etc.
3. Agree with the Contractor on the format and content to be delivered during the design stages, such as concept, permitting and detailed design, to achieve timely delivery of the works included in the contract package.
4. Assist the Employer in facilitating the Contractor to obtain the EPA permit and the construction permit upon due consultation with key authorities or stakeholders.

5. Ensure that the Contractor complies with the design submission milestones as per EPC Contract, and reports to the Employer in the case of any program slippage.
6. Consult with key stakeholders to ensure that the Concept Design has broad stakeholder support so as to limit the risk of Contractor claims resulting from subsequent Employer variations.
7. Review, examine and, if required, approve during the different design stages (concept/permitting/ detailed design and temporary works) all drawings, design reports, calculations, technical specifications of equipment and materials etc., in accordance with the approved Contractor's program and the timescales stipulated in the EPC Contract.
8. Check the design with respect to the functional and design criteria and specifications, H&S and environmental aspects, operability matters, flood and storm resilience, product quality and durability.
9. Arrange and manage design review meetings to expedite and to facilitate a smooth design review.
10. Monitor the design progress and inform the Employer about any deviations and potential delays.
11. Suggest design changes if necessary and advise the Employer on these changes and potential cost and schedule implications by furnishing appropriate reports. In the event, costs have to be borne by the Contractor, advise the Employer accordingly.
12. Review and, if needed, approve the contractor's method statement, site organization arrangements, utilities, shipment plans etc.
13. In the event procurement/manufacturing is carried out during the design stage, inspect or coordinate the inspection of manufacturing of critical components as per contractual provisions incl. the review of certificates, technical specifications and workmanship.
14. Review, comment and, as the case may be, approve the plans and documents the Contractor has to submit during the design and construction phase, such as, but not limited to, operations and maintenance plans, the Contractor's environmental management plan (CEMP), quality management and assurance plan, the H&S plan, residual waste and landfilling plan, the program on tests on completion of design-build, etc. and

## **II. Project Management**

1. Plan and manage the project, and assist the Employer on the project management, including risk management, cost control, scheduling, monitoring, auditing, reporting, and compliance monitoring for the project required under both the Government of Maldives and the Government of India rules and guidelines.
2. Acting as the Employer's Representative as relevant during the construction phase of the Project.
3. Review, comment and, if required, approve the Contractor's program and such other programs and plans as are submitted by the Contractor. The Consultant shall review all pertinent activities and works, analyse critical



paths, responsibilities and functions assigned and flag any time and cost over-run if required.

4. Prepare a work program for each of the Consultant's team members in line with the Contractor's schedule.
5. Ensure that the correct datum points and benchmarks for the setting out are used and subsequently check and accept the detailed setting out.
6. Establish a document control and proper filing system for project offices, including official correspondence, drawings, site instructions, variation orders and site records.
7. Establish, coordinate and manage the information exchange between the Consultant, Contractor and Employer and, as the case may be, other Project stakeholders.
8. Attend meetings necessary to manage the Project, prepare minutes and control the outcomes and decisions taken.
9. Assist the Employer in conducting regular meetings with all stakeholders, Contractor, and other government entities, etc., to discuss progress and issues related to implementation, and prepare minutes for recording and circulation.
10. Establish all necessary records and the procedures of maintaining/updating such records for each component of the Project;
11. Monitor open topics, claims of the Employer towards the Contractor, defects to be rectified, potential malfunctions of equipment etc. and track solutions to be implemented.
12. Issue all necessary instructions to the Contractor, on behalf of the Employer, and check and control the work to ensure that it is carried out according to the contract documents.

This includes but not limited to the following:

- a. **Material Approval:** Approval of all the materials required for the works shall be checked and compared with specifications given in the Employers Requirements, design requirements and Technical Specification and approved in a predefined clear process. Records must be maintained in proper manner and shared with the Employer. Consultant should respond to the Contractors request for material approval within the timeframes defined in the construction Contract and shall organize the material approval process in a way to avoid any delays to Contractors works.
- b. **Coordination of works:** The Consultant shall organize and direct execution of the works and coordinate with stakeholders including the Employer, the Contractor, Utility providers, Government Offices/ Authorities/Agencies and third parties as required. Coordination shall be ensured by arranging regular meetings and general monthly meetings as required, with the Contractor, the Employer and any other party.

- c. **Supervision of field surveys:** The Consultants shall supervise the Contractors field surveys such as topographic, hydro-geological, geotechnical, geological surveys and any other surveys carried out by the Contractor. The Consultants shall check the measurements, advise the Employer and approve technical reports prepared by the Contractor on all measurements made on site.
  13. Provide general guidance to the Contractor as may be necessary to ensure that the works are carried out expeditiously according to schedule and within the terms of the contracts.
  14. Carry out, during the execution of the works, inspections of all the workmanship and installations of the Contractor to ensure the quality. Consultant shall submit the following plans and any other documents as required to the Employer.
    - a. Review and approval of Quality Control and Quality Assurance Plan developed by the Contractor. Consultant should ensure that necessary control measures are addressed in the QA/QC plan for the structures which are built and equipment to be installed in conformity with the Conditions of Contract, Employers Requirement, Design Requirements, Technical Specifications, approved drawings, standards and codes, engineering best practices.
    - b. A Plan for Project Cost Control shall be developed on the basis of the field survey control and quantity survey required for determination of actual quantities of work accomplished by the Contractor and the Consultant will approve or reject the quantities of materials delivered, equipment erected, and works performed by the Contractor.
  15. Check and recommend for the approval or otherwise disapproval by the Employer for the testing of soils, materials and operations required to ensure that the work complies with the Employers requirements and specifications.
  16. Examine the Contractor's installations, accommodations, canteens, health and safety precautions, construction equipment and laboratories to see that all these conform to agreed specifications and proposals.
  17. Check and recommend for the approval or otherwise disapproval by the Employer for the Contractor's plans defining the locations of the construction materials processing plants and the like.
  18. Ascertain the work measurements and payment claims by the Contractor and certify these to the Employer as being correct and within the terms of contract.
  19. Review and approval of records maintained by the Contractor for all the results of the measurements carried out for the quantities to be paid both for the provisional and final accounting, on the proper forms normally in use in Maldives or previously agreed upon with the Employer.
  20. **Certification of work progress and invoices including the requirement of 75% Indian content in each contract.**
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21. Prepare and recommend for the approval or otherwise disapproval by the Employer for any change orders, together with related specifications and drawings.
22. Check and recommend for the approval or otherwise disapproval by the Employer for all working drawings/shop drawings prepared by the Contractor.
23. Assist the Employer in negotiations with the Contractor on any matter for which the need may arise and make recommendations on these as may be necessary.
24. Alert the Employer to any problems which arise or might arise in connection with the contract and make recommendations for solving them.
25. Check and ensure compliance of Contractor to Environmental Mitigation measures and ensure submission of monitoring reports to the Environment Protection Agency in accordance with the Environment Impact Assessment report and any amendments made during the construction phase.
26. Evaluate all claims for additional payments or extension of time, if any, submitted by the Contractor and make recommendations on these to the Employer.
27. Assist the Employer in reminding it of any dispute that may arise with the Contractor and give a concrete opinion in the best interest of the Employer on any claims the Contractor may put forward by drawing up a report giving all the elements on which the Consultant's judgment is based.
28. When the works have been completed, carry out an inspection and make recommendations to the Employer for their acceptance on the date of commencement of the defect's liability period/maintenance period. Consultants works include (but not limited to):
  - a. Attend the acceptance tests and ensure that the performance criteria set by the Employer are met.
  - b. Preparing the Completion Report which shall be based on the record maintained during work supervision phases. Include any compliance reports required as part of EIA or any other regulatory body.
29. Prepare and submit the following to the Employer;
  - a. Weekly Progress Reports comprising of up to date progress information.
  - b. Monthly Progress Report comprising of up to date progress information and any other details as agreed by parties to the contract.
  - c. Monthly Payment Certificates on progress achieved. The Consultant shall assist the Employer in preparing disbursement requests and manage financial progress of the Project.
  - d. Environmental Monitoring reports during execution.
  - e. Prepare essential reports and documents including quick report on progress, quality, disbursement or any other relevant matter as may be required by the Client, Employer or the Indian EXIM Bank;
30. Consultant shall, after review, issue approvals, no objections or reject as and when required according to EPC Contract. These documents include, but not limited to

- a. Check and approval of As-built drawings (Architectural, Civil/Structural, All Services including but not limited to Electrical, Mechanical, Water, Sewerage, Firefighting, extra low voltage systems, ICT, etc.)
  - b. Check and approve Operation and Maintenance manuals for equipment, facilities and other permanent structures.
31. Oversee the trainings and transfer technology provided by the Contractor for the officials of Employer and any other agency that might be involved in the sustainable operation and maintenance of the Project.
  32. Review and approve the Operation and Maintenance framework submitted by the Contractor, and ensure that it covers all required trainings necessary for maintenance staff. Ensure that the Contractors Operational and maintenance manuals are in accordance with the framework and give the necessary approvals.
  33. Review all proposed sub-contractors and verify their insurance, performance bond and collateral warranty or hereto relating parent company guarantees; and
  34. Upon request, assist the Client in ensuring compliance with all loan covenants during Project implementation and assist in reporting towards the funders.

### **III. Construction Supervision**

Amongst others, the Consultant shall

1. Maintain sufficient site-based staff, with clear allocation of duties, to monitor, inspect and closely follow up the day-to-day construction activities in line with the timely requirements of the construction works.
2. Review method statements, work drawings and construction methodology for their correctness and adequacy prior to the start of works, report findings and propose/recommend modifications or corrections to any defect or omissions and issue for execution; monitor impact and report on physical progress of the works and financial disbursements;
3. Maintain daily records of execution progress in an appropriate format to be shared with the Employer.
4. Co-ordinate with all stakeholders to achieve timely completion of contractual obligations on the part of Contractor and the Employer.
5. Review any upcoming design changes in the course of the construction and advise the Employer on potential cost and design/construction schedule implications.
6. Monitor the Contractors' performances against the stipulated milestones and the agreed project progress, furnish an updated list of open topics and advise the Employer about any expected or unexpected delay and potential cost implications.
7. Check the adequacy and quality of the Contractor's input in terms of material, equipment & machinery, personnel and safety arrangements prior to commencement of the works and periodically during the construction activity.

8. Inspect and control the executed works and the supplies of equipment to be in compliance with the approved work drawings (design for construction) and with the Employer's Requirements.
9. Review, inspect and/or coordinate the review and inspection of manufacturers of major and critical components and their manufacturing sites pursuant to the Contract provisions with respect, but not limited, to certificates evidencing skills and experiences of the Contractor's staff, documented and certified materials used, technical specification of (sub)components embedded, the general workmanship and the final product quality.
10. Monitor the assembly of components and its progress towards expected milestones.
11. Agree with the Contractor on the test program prior to completion of the Design-Build, attend the tests, review the test reports and endorse test certificates.
12. Review and approve the as-built-documentation and, as the case may be, request changes prior to acceptance.
13. Record and follow up on defects identified during and ensure that all defects are remedied within the time stipulated.
14. Scrutinize the quality assurance system and quality control plan of the Contractor, and prepare quality compliance and progress reports.
15. Support and assist the Employer in Contract administration and compliance with contractual conditions and any requirements of the Indian EXIM Bank.
16. Support the Employer during the processing of payment and claims by providing any necessary input (such as measurement of works progress, judgement and information concerning milestone achievements, acceptance of variation orders, deduction of retention money); and
17. Assist the Employer in forecasting the progress of works and finalization of periodic targets for expenditure and disbursement.

#### **IV. Testing and Commissioning**

1. Maintain a sufficiently staffed and skilled team to keep up with the responsibilities assigned during the commissioning period including the demonstration of performance guarantees that were defined in the EPC Contract.
2. Support the Contractor, as far as required, to obtain the necessary permits to conduct the commissioning activities.
3. Assist the Employer in making available the required items such as containers, arrangement of loaded ships/barges, etc. prior to the tests on completion.
4. Review and comment on/approve the Contractor's Program of Tests on Completion;
5. Request to commission parts and sections of the works if need be.
6. Attend and monitor the commissioning tests (incl. pre-commissioning) and trial operations including the tests on completion to demonstrate the performance requirements, standards and guarantees.

7. Furnish commissioning attendance protocols and highlight issues that might affect the scheduled tests on completion.
8. Review the test reports on completion and make necessary comments and adjustments, and, in the event of failure of the tests, request the Contractor to conduct a retest.
9. Support the Employer during any claims related to the commissioning period.
10. After due consultation with the Employer, issue the commissioning certificate upon successful completion of the Tests on Completion.
11. Summarize the performance of the facilities being tested and provide recommendations to the Employer and the EPA relating to performance and compliance monitoring.

#### **V. Defects Liability Period**

1. Post Construction monitoring of the Project for defective works for a period of 1 year.
2. The Consultant shall carry out quarterly inspections during the one-year defects liability period and instruct accordingly the contractors with regard to outstanding works and defects. After completion of defect liability period and satisfactory inspections, Final Acceptance Certificate will be issued.
3. Prepare and submit the following to the Employer;
  - a. Final Completion Report on completion of Defects Liability Period.
  - b. Environmental Monitoring reports during Defects Liability Period.
  - c. Other Necessary Reports that the Employer may request from time to time on any special measures.

It is not the intention of this document to completely specify all detailed services required during construction and defects liability phases of the project, however, any additional services which are not specifically mentioned here, but which are required during the project management consultancy to complete the project in every respect and in accordance with the intent, Employers requirements of the construction contract, technical specification for safe use and guaranteed performance, shall be deemed to be covered under the scope of work.

#### **C] Contract execution period**

The duration of the contract is expected to be 18 months.

#### **D]GOI Guidelines**

As per the GOI guidelines, goods, works and services for minimum 75% value of the contracts covered under these Lines of Credit, must be sourced from India. Applicants are advised to refer to the GOI guidelines mentioned above which are also available at :

[https://www.eximbankindia.in/assets/pdf/loc/IDEAS\\_2022\\_07042022.pdf](https://www.eximbankindia.in/assets/pdf/loc/IDEAS_2022_07042022.pdf)

Applicants are also advised to refer to the Public Procurement Orders nos. 1 & 2 dated July 23, 2020 and Public Procurement Order no. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India, which are applicable to the current prequalification process.

# Part II. Instructions to Applicants [ITA]

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## Part II. Instructions to Applicants

### A. General

- 1. Background**

1.1 The Export-Import Bank of India (hereinafter called “the Bank”), on behalf of the Government of India (GOI), extends from time-to-time, concessional credit facilities (hereinafter called “Lines of Credit”) under the Indian Development and Economic Assistance Scheme (IDEAS) to overseas governments or their nominated agencies (hereinafter called “Borrower or Employer”), for financing projects to be executed by Indian entities. Payment by the Bank to the Indian exporters will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the credit (or financing) agreement.
- 2. Scope of Prequalification**

2.1 With reference to the Invitation for Prequalification (IFP) indicated in Part III, Data Sheet (DS), this Application Document (“Application Document”) is being issued by the Bank to prospective applicants (“Applicants”) interested in submitting applications (“Applications”) for prequalification as per the scope described in Part I, Scope of Consultancy Services and subject to the provisions of this Application Document.
- 3. Ethics and Integrity**

3.1 The Bank requires Applicants to participate in this prequalification process with the highest standards of ethics and integrity. It is strictly forbidden to the parties involved in the prequalification process, by themselves or by a third party, to offer, ask or accept for itself or for a third party, financial or non-financial advantage or even direct or indirect promise or any other acts<sup>1</sup>, as offsets for prequalification or bidding or contract award and execution as applicable, if susceptible of being qualified as criminal unlawful acts in accordance with national laws of any party involved.

3.2 In pursuance of ITA 3.1 above, Applicants shall permit the Bank to inspect all accounts, records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

3.3 If, in accordance with the administrative procedures of the Bank, it is demonstrated that an Applicant in this prequalification process, or during the subsequent bidding

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<sup>1</sup> These acts shall include, but not limited to, corrupt practice, fraudulent practice, collusive practice, coercive practice, obstructive practice, and conflict of interest.

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for the contract(s) has violated the provisions stated in ITA 3.1 above, the Bank, inter alia,

- a. shall reject any Application for prequalification or proposal to award a contract in connection with the subsequent procurement process, as applicable; and/or
- b. may declare an Applicant, temporarily or permanently, ineligible to be awarded future contracts under Bank funding.

#### **4. Eligibility of Applicants**

- 4.1 This prequalification exercise is open to only Indian Applicants in accordance with the provisions of ITA 4.4. Any form of association by the Applicant with ineligible entities either during this prequalification process or subsequent bidding process may be liable for rejection.
- 4.2 For the purpose of applying the eligibility criteria listed in this article 4, "Applicant" would include the single entity or in case of a joint venture ("JV"), each member of the JV, involved or intended to be involved with this prequalification process (as mentioned in Form A.1.2 Applicant's/ JV Member's Information Form), for any part of the prospective contract(s) including related services.
- 4.3 An Applicant may be a single entity or a combination of entities in the form of a JV either existing or with the intent to enter into a JV supported by a letter of intent or JV Agreement listing out the names of all the JV members. In the case of a JV, all members shall be jointly and severally liable for the execution of the contract(s) in accordance with the respective contract terms. The JV shall be represented by an authorized representative who shall have the authority from all members of the JV to conduct all business for and on behalf of the JV during the prequalification process. The limit on the number of members in a JV would be as specified in the DS.
- 4.4 This prequalification exercise is open to only Indian Applicants. An Applicant shall be deemed to be Indian if the Applicant is registered in India and/or incorporated/established under any law in force in India, as evidenced by its articles of incorporation and its registration documents, as the case may be
- 4.5 An Applicant that has been blacklisted/ debarred/ sanctioned by any multilateral development bank (MDB) such as World Bank, Asian Development Bank, or any authority of GOI or the Borrowing Government in accordance with extant rules and procedures, shall be ineligible to participate in the prequalification/ bidding process as applicable, during such period of time as the sanctioning authority shall have determined. In case the Applicant is blacklisted/ debarred/ sanctioned post the

prequalification process (i.e. including, during bidding process and/or contract implementation phase), the Applicant shall immediately inform Exim Bank of such blacklisting/debarment/sanction and reasons thereof. This may lead to cancellation of Applicant's prequalification / contract.

- 4.6 The list of debarred firms and individuals by certain leading MDBs is available as specified in the DS. This may not be an exhaustive list of debarred entities but those which are made available centrally on the respective MDB's website. Additionally, there could be lists of debarred entities issued by various government departments/ procuring entities/ corporations and maintained offline.
- 4.7 An Applicant shall be ineligible to be prequalified if (a) it is currently under default on any loan to any Bank/ Financial Institution (FI) and its account has been classified as Non-Performing Asset (NPA) as per Central Repository of Information on Large Credits (CRILC) database; and/or (b) its promoters/ directors appear in Negative List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List and/or any other negative list of central and/or state government agencies, updated from time-to-time. On being included in any of the above lists post the prequalification process (i.e. including during bidding process and/or Contract implementation phase), the Applicant shall immediately inform Exim Bank on the inclusion and reasons for inclusion thereof. This may lead to cancellation of Applicant's prequalification / contract.
- 4.8 An Applicant shall (i) be eligible with respect to the provisions of Public Procurement Orders No. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by Department of Expenditure, Ministry of Finance, Government of India; and (ii) furnish such evidence of eligibility satisfactory to the Bank, as the Bank shall reasonably request.

## B. Composition of the Application Document

5. Contents of this Document
- 5.1 This Application Document consists of the Parts indicated below, and which should be read in conjunction with any Corrigendum issued in accordance with ITA 7.
- Part I. Scope of Consultancy Services
  - Part II. Instructions to Applicants (ITA)
  - Part III. Data Sheet (DS) to ITA
  - Part IV. Prequalification Criteria and Requirements
  - Part V. Forms
  - Part VI. Questionnaire for Applicants

5.2 Unless obtained directly from the Bank, the Bank shall not be responsible for the completeness of the document, responses to requests for clarification, or Addenda to the Application Document in accordance with ITA 7. In case of any discrepancies, documents issued directly by the Bank shall prevail.

5.3 An Applicant is expected to study all instructions, and forms in the Application Document and to furnish with its Application all information or documentation as is required by the Application Document.

## **6. Clarifications**

6.1 A prospective Applicant requiring any clarification of the Application Document shall contact the Bank in writing at the Bank's address indicated in the DS. The Bank will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline, or any extension thereof, for submission of the applications. The Bank's response, at its discretion, may be uploaded on its website and shall include a description of the inquiry (without identifying its source) and corresponding clarification. As a result of a clarification the Bank may, if necessary, amend the Application Document in accordance with the provisions of ITA 7.

6.2 Any modification to the Application Document that may become necessary as a result of the clarifications to the queries shall be made by the Bank exclusively through the use of a Corrigendum pursuant to ITA 7.

## **7. Corrigendum of Application Document**

7.1 At any time prior to the deadline for submission of Applications, the Bank may amend the Application Document by issuing a Corrigendum.

7.2 Any Corrigendum or Addenda issued shall be deemed to be part of the Application Document and shall be published on the Bank's website.

7.3 Post Corrigendum, in order to allow sufficient time to the prospective Applicants in preparing their Applications, or for other good reason, the Bank may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

## **C. Preparation, Submission and Opening of Applications**

### **8. Cost towards Application**

8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Bank will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

### **9. Language**

9.1 The Application documentation and printed literature that are part of the Application, if in another language, shall be accompanied by an accurate translation of the relevant passages in English language, which shall be the governing

language.

- 10. Documents constituting the Application**
- 10.1 The Application shall comprise the following:
- (a) Application Submission Form, in accordance with ITA 11.1;
  - (b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;
  - (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
  - (d) any other document required as specified in the **DS**.
- 11. Application Submission Form**
- 11.1 The Applicant shall complete an Application Submission Form as provided in Part V (Forms). This Form must be completed without any alteration to its format.
- 12. Documents Evidencing the Applicant's Eligibility**
- 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms A.1.1 and A.1.2, included in Part V (Forms).
- 13. Documents Evidencing the Applicant's Qualifications**
- 13.1 To establish its qualifications in accordance with Part IV, Prequalification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Forms included in Part V without any alteration to the format. Applicant shall also complete the Questionnaire included in Part VI without any alteration to the questions. Each Form included in Part V and the Questionnaire in Part VI shall be duly signed by the authorized representative of the Applicant. In case of JV, authorized representative of the respective member shall sign. Non-compliance with this clause may result in summary rejection of the Application.
- 13.2 Wherever a monetary amount is to be stated, Applicant should indicate the USD equivalent using the rate of exchange determined as follows:
- (a) Exchange rates shall be taken from the publicly available source identified in the **DS**. Any error in determining the exchange rates in the Application may be corrected by the Bank during evaluation;
  - (b) For data related to financial statements, the amounts shall be mentioned in Indian Rupees (INR). Equivalent amount in USD shall be arrived at using the exchange rate as on 31st March of respective financial year as specified in the **DS**;
  - (c) for data related to contracts signed in the current financial year, unless otherwise specified in the **DS**, the exchange rate prevalent as on the working day preceding the date of Invitation for Prequalification (IFP) shall be used to arrive at the USD equivalent; and
  - (d) for contracts signed prior to the current financial year, the exchange rate as on 31st March of the respective financial year in which the contract was signed shall be

used to arrive at the USD equivalent as specified in the **DS**.

- 14. Authorized Signatory and Number of Copies**      14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by all members of the JV.
- 15. Preparing the Application Envelope**      15.1 The Applicant shall enclose the original Application in a sealed envelope that shall:  
(a) be addressed to the Bank, as per ITA 16.1;  
(b) mention the name and address of the Applicant; and  
(c) mention the specific identification of this prequalification process indicated in the **DS** ITA 2.1.
- 15.2 The Bank will accept no responsibility for not processing any envelope that was not prepared as required in ITA 15.1 above.
- 16. Application Submission Deadline**      16.1 Applicants must submit their Applications in dual mode (on-line as well as off-line). Applications shall be received by the Bank at the address and no later than the deadline indicated in the **DS**.
- 16.2 The Bank may, at its discretion, extend the deadline for the submission of Applications by amending the Application Document in accordance with ITA 7.
- 17. Late Applications**      17.1 Applications received after the deadline for submission of applications shall not be admissible, and if received, shall be returned unopened to the Applicant.
- 18. Opening of Applications**      18.1 The Bank shall open all Applications at the date, time and place specified in the **DS**. Applicants' representatives may be present during opening.

#### **D. Procedures for Evaluation of Applications**

- 19. Evaluation to be Confidential**      19.1 Information relating to the Applications, their evaluation and result shall be confidential and will not be disclosed to Applicants or any other person(s) not officially concerned with the prequalification process until the official notification of prequalification results is made in accordance with ITA 25.
- 19.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 25, any Applicant who wishes to contact the Bank on any matter related to the prequalification process (except as specified in ITA 20.1 below), may do so only in writing. Canvassing in any form

will be grounds for disqualification.

**20. Clarifications during Evaluation**

- 20.1 During the evaluation of Applications, in case of ambiguity, the Bank may, at its discretion, ask an Applicant for a clarification of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Bank and all clarifications from the Applicant shall be in writing. Any clarification submitted by an Applicant that is not in response to a request by the Bank may not be considered.
- 20.2 If an Applicant does not provide (within the time allowed) clarifications and/or documents requested as per the Bank's request for clarification, its Application shall be evaluated based on the information and documents available at the time of evaluation of the Application.

**21. Determination of Responsiveness of Applications**

- 21.1 The Bank may reject any Application which is not responsive to the requirements of the Application Document and may not subsequently be made responsive by correction of the causes of non-responsiveness or by substitution or resubmission of the Application or part thereof.

**E. Evaluation of Applications and Prequalification of Applicants**

**22. Evaluation of Applications**

- 22.1 The Bank shall use the criteria and requirements defined in Part IV, Prequalification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Bank reserves the right to waive minor deviations from the prequalification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract.
- 22.2 The qualification and experience of sub-contractors(s), if any, proposed by the Applicant will not be considered for evaluation of the Application.
- 22.3 Only the qualifications of the Applicant, in the capacity as prime Consultant/ member of JV to the project employer, shall be considered. In particular, the qualifications of a parent or any other affiliated company of the Applicant, who is not member of the JVCA except as permitted by ITA 4.3, shall not be considered.
- 22.4 The qualification and experience of the Applicant<sup>2</sup> for execution of contracts in-house or for a parent/ promoter/ subsidiary/ group concerns or for Special Purpose Vehicles

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<sup>2</sup> In case of a public sector Applicant with majority shareholding by the government, experience of execution of contracts for government organizations/ enterprises/ JVs shall be considered provided that such organizations/ enterprises are not under the same administrative ministry/ department through which the government holds majority shareholding in the Applicant, unless such contracts were awarded to the Applicant through an open competitive process.

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(SPVs), set up by the Applicant or its group companies, shall not be considered.

- 23. Bank's Right to Accept or Reject Applications**      23.1 The Bank reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.
- 24. Prequalification of Applicants**      24.1 All Applicants, whose Applications substantially meet or exceed the specified qualification requirements, will be prequalified by the Bank.
- 25. Notification of Prequalification**      25.1 The Bank shall notify the result of the prequalification process through a letter to the prequalified Applicants and to the applicants not meeting the prequalification criteria.
- 25.2 Applicants that have not been prequalified may write to the Bank no later than 7 calendar days after the date of intimation by the Bank, of the result of prequalification, to request clarifications regarding the grounds on which they were not prequalified.
- 26. Subsequent Invitation for Bids**      26.1 The Bank shall forward the list of prequalified firms to the Borrower for subsequent invitation of Proposals.



## Part III. Data Sheet (DS) to ITA

### A. General

ITA 2.1	<p>The identification of the Invitation for Prequalification [IFP] is:  <b>GOILOC-351/Maldives/CS-56</b>  The Bank's address is:  Export-Import Bank of India  Lines of Credit Group  Office Block, Tower 1  7th Floor, Adjacent Ring Road  Kidwai Nagar [East]  New Delhi – 110023  Tel: +91 11-2347 4829/2347 4800  Email: <a href="mailto:eximloc@eximbankindia.in">eximloc@eximbankindia.in</a>  Website: <a href="http://www.eximbankindia.in">www.eximbankindia.in</a></p>
ITA 4.3	<p><b>The lead partner of the JV shall be the one with the highest share in the JV which shall not be less than 51%.</b> The maximum number of other members in the JV shall be such that each of the other members should have at least <b>20%</b> share. The JV can be either an incorporated JV company or an unincorporated consortium/ association (JVCA) based on a memorandum of understanding. In case of unincorporated JVCA, the share shall be determined based on the share in the proceeds of the contract (in terms of percentage) being bid for. In other words, if prequalified and invited to bid, the share of JV members in the contract in terms of value shall be in the proportion as stated above.</p> <p>The Letter of Intent to enter into a JV or JV agreement should contain, inter alia, the following:</p> <ul style="list-style-type: none"> <li>- Names of the Lead Partner and each of the other members</li> <li>- Percentage share of each JV member</li> <li>- Confirmation of Joint and Several Liability of all members of the JV</li> </ul> <p>The JV is permissible at prequalification Stage only. The JV which is prequalified will be sustained for the entire bidding process. JV among prequalified Consultants and non-prequalified Consultants or any other entity is not allowed subsequent to prequalification stage. No change of JV members or change in percentage (%) of share in JV is allowed subsequent to prequalification. A member of JVCA shall not be permitted to participate either in individual capacity or as a member of another JVCA in the same prequalification process.</p> <p>The JVCA shall nominate a Lead Partner who shall have the authority to conduct all businesses for and on behalf of any and all the partners of the JVCA during the prequalification process, tendering process and, in the event the JVCA is awarded the Contract, during contract execution.</p> <p>In the event of award of final contract to any JVCA, all the payments to the JVCA shall be made to the bank account of the JVCA and not to the account of individual member(s).</p> <p>The Joint Venture Agreement shall in all respect be governed</p>

	by and interpreted in accordance with Indian Laws.
<b>ITA 4.6</b>	<p>A list of debarred firms and individuals by: The World Bank is available on the website: <a href="http://www.worldbank.org/debarr">http://www.worldbank.org/debarr</a></p> <p>The Asian Development Bank is available on the website: <a href="http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&amp;count=999">http://lnadbg4.adb.org/oga0009p.nsf/sancALLPublic?OpenView&amp;count=999</a></p> <p>The African Development Bank is available on the website: <a href="http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/">http://www.afdb.org/en/projects-and-operations/procurement/debarment-and-sanctions-procedures/</a></p> <p>The European Bank for Reconstruction and Development is available on the website: <a href="http://www.ebrd.com/pages/about/integrity/list.shtml">http://www.ebrd.com/pages/about/integrity/list.shtml</a></p> <p>The Inter-American Development Bank is available on the website: <a href="http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html">http://www.iadb.org/en/topics/transparency/integrity-at-the-idb-group/sanctioned-firms-and-individuals,1293.html</a></p>

#### **B. Contents of the Application Document**

<b>ITA 6.1</b>	<p>For clarification purposes, the Bank's address is:</p> <p>Mr. Sandeep Kumar Deputy General Manager Export-Import Bank of India Lines of Credit Group Office Block, Tower 1 7th Floor, Adjacent Ring Road Kidwai Nagar [East] New Delhi – 110023 E-mail: <a href="mailto:eximloc@eximbankindia.in">eximloc@eximbankindia.in</a></p>
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#### **C. Preparation, Submission & Opening of Applications**

<b>ITA 10.1 (d)</b>	<p>The preparation and submission of Application Form will be in offline mode.</p> <p>The Applicant shall forward a hard copy of its Application along with a covering letter clearly indicating the name of the project and the Prequalification Reference No.</p> <p>The Applicant shall submit with its Application, the following additional documents as attachment to the Application Submission Form:</p> <p>The Applicant shall submit a copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. Copy</p>
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	<p>(self-attested) of such relevant company Board Resolution or equivalent document should also be attached.</p> <p>In case the Applicant is a JV, the Applicant shall submit a copy (self-attested on all pages) of Powers of Attorney for each member of the JV along with a copy (self-attested) of relevant Board Resolutions or equivalent documents.</p> <p>Note: It is mandatory for Applicants to submit the Application in offline mode. Any Application not submitted in accordance with the requirements mentioned in this clause will be liable for rejection.</p>																																							
ITA 13.2(a)	<p>The source for determining exchange rates is: For conversion of foreign currency / Indian rupees (INR) to US Dollar, the Applicants shall use the Reference Rates of Foreign Currency published by Financial Benchmark India Private Ltd (FBIL) (<a href="https://www.fbil.org.in/">https://www.fbil.org.in/</a> )</p> <p>For the historical exchange rates, which are not made available by FBIL, the reference rate published by Reserve Bank of India (RBI) and available on <a href="https://www.rbi.org.in/scripts/ReferenceRateArchive.aspx">https://www.rbi.org.in/scripts/ReferenceRateArchive.aspx</a> may be used.</p> <p>In case a particular currency rate is not published by FBIL, then the selling rate of such currency shall be taken from the following website: <a href="http://www.oanda.com">http://www.oanda.com</a></p>																																							
ITA 13.2(b) & (d)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table border="1" data-bbox="584 1279 1267 1944"> <thead> <tr> <th></th> <th>USD 1 = INR</th> <th>1 INR = USD</th> </tr> </thead> <tbody> <tr> <td>31-Mar-11</td> <td>44.6500</td> <td>0.0224</td> </tr> <tr> <td>31-Mar-12</td> <td>51.1565</td> <td>0.0195</td> </tr> <tr> <td>31-Mar-13</td> <td>54.3893</td> <td>0.0184</td> </tr> <tr> <td>31-Mar-14</td> <td>60.0998</td> <td>0.0166</td> </tr> <tr> <td>31-Mar-15</td> <td>62.5908</td> <td>0.0160</td> </tr> <tr> <td>31-Mar-16</td> <td>66.3329</td> <td>0.0151</td> </tr> <tr> <td>31-Mar-17</td> <td>64.8386</td> <td>0.0154</td> </tr> <tr> <td>31-Mar-18</td> <td>65.0441</td> <td>0.0154</td> </tr> <tr> <td>31-Mar-19</td> <td>69.1713</td> <td>0.0145</td> </tr> <tr> <td>31-Mar-20</td> <td>75.6650</td> <td>0.0132</td> </tr> <tr> <td>31-Mar-21</td> <td>73.5047</td> <td>0.0136</td> </tr> <tr> <td>31-Mar-22</td> <td>75.8071</td> <td>0.0132</td> </tr> </tbody> </table>		USD 1 = INR	1 INR = USD	31-Mar-11	44.6500	0.0224	31-Mar-12	51.1565	0.0195	31-Mar-13	54.3893	0.0184	31-Mar-14	60.0998	0.0166	31-Mar-15	62.5908	0.0160	31-Mar-16	66.3329	0.0151	31-Mar-17	64.8386	0.0154	31-Mar-18	65.0441	0.0154	31-Mar-19	69.1713	0.0145	31-Mar-20	75.6650	0.0132	31-Mar-21	73.5047	0.0136	31-Mar-22	75.8071	0.0132
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ITA 13.2(c)	<p>Applicants shall use the following rates for conversion between USD and INR:</p> <table border="1" data-bbox="584 309 1058 427"> <tr> <td data-bbox="584 309 831 365">USD 1 = INR</td> <td data-bbox="831 309 1058 365">1 INR = USD</td> </tr> <tr> <td data-bbox="584 365 831 427">82.36</td> <td data-bbox="831 365 1058 427">0.0121</td> </tr> </table> <p><b>As on October 19, 2022</b></p>	USD 1 = INR	1 INR = USD	82.36	0.0121
USD 1 = INR	1 INR = USD				
82.36	0.0121				
ITA 14.1	<p>Additionally, one (1) copy in pen drive shall also be submitted.</p> <p>The prequalification application document (offline version) shall be submitted in <b>spiral or hard bound form</b> only and not as loose sheets in plastic folders. All the pages shall be machine numbered and a table of contents shall be included in the beginning of each volume of documents referring the page numbers of the indexed items.</p>				
ITA 16.1	<p>Submission of Application is required in <b>dual mode i.e. on-line as well as off-line</b> as mentioned in ITA 10.1(d).</p> <p><b>The deadline for Application submission is:</b></p> <p><b>Date: November 21, 2022</b>  <b>Time: 15:00 hrs</b></p> <p>For application submission purposes only, the Bank's address is:  Bank's address is the same as that indicated in ITA 2.1</p> <p><i>Attention: Mr. Sandeep Kumar, Deputy General Manager</i></p>				
ITA 18.1	<p>The opening of the Applications shall be at the Bank's address as that indicated in ITA 2.1</p> <p><b>Date: November 21, 2022 (MONDAY)</b>  <b>Time: 15:30 hrs</b></p>				

# Part IV. Prequalification Criteria and Requirements

This Part contains all the criteria, requirements and procedures that the Bank shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms can be referred to in the respective Forms.

## Contents

<b>A</b>	<b>General</b>
<b>B</b>	<b>Past Contract Performance and Litigation</b>
<b>C</b>	<b>Financial Status and Capacity</b>
<b>D</b>	<b>Experience</b>
<b>E</b>	<b>Skilled Human Resources</b>
<b>F.</b>	<b>Confirmation of eligibility under Public Procurement Orders</b>

**A. General**

<b>A.1 Nationality</b>	
<b>Requirement:</b>	<ul style="list-style-type: none"> <li>➤ The Applicant's Nationality shall be in accordance with ITA 4.4</li> <li>➤ Documentation and Attachments to be submitted as mentioned below</li> </ul>
<b>Documentation to be submitted</b>	Form A.1.1 and A.1.2
<b>Attachments to be submitted</b>	<ul style="list-style-type: none"> <li>➤ Articles &amp; Memorandum of Association, Certificate of Incorporation, Partnership Deed (in case of Partnership firm) of the Applicant in accordance with ITA 4.4.</li> <li>➤ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3 and documents as above for each member of the JV</li> </ul>

<b>A.2. Eligibility</b>	
<b>Requirement:</b>	<ul style="list-style-type: none"> <li>➤ The Applicant shall not be under declaration as ineligible, as described in ITA 4.5, ITA 4.7 and ITA 4.8.</li> <li>➤ Documentation and Attachments to be submitted as mentioned below</li> </ul>
<b>Documentation to be submitted:</b>	Application Submission Form
<b>Attachments</b>	<ul style="list-style-type: none"> <li>➤ The Applicant shall submit a copy [self-attested on all pages] of Power of Attorney in favour of the person who has been authorized, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant. A copy [self-attested] of such relevant company Board Resolution or equivalent document should also be attached.</li> <li>➤ In case the Applicant is a JV, the Applicant shall submit a copy [self-attested on all pages] of Powers of Attorney for each member of the JV along with copy [self-attested] of relevant Board Resolutions or equivalent documents.</li> <li>➤ For an Applicant as a single entity or in case of JV, for each member: <ul style="list-style-type: none"> <li>○ Permanent Account Number (PAN): Self attested copy</li> <li>○ GST Registration details, as applicable: Self attested copy</li> </ul> </li> </ul>

**B. Past Contract Performance and Litigation**

<b>B.1 Past Contract Non-performance</b>	
<b>Requirement:</b>	<ul style="list-style-type: none"> <li>➤ There shall be no instance of contract<sup>3</sup> non-performance as a result of Applicant's default since <b>October 01, 2017</b>. (In case of</li> </ul>

<sup>3</sup>Contract non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

Part IV. Prequalification Criteria and Requirements

	<p>JV, each member shall meet the requirement).</p> <ul style="list-style-type: none"> <li>➤ The Applicant shall inform Exim Bank of any such instances occurring post submission of the Prequalification Application.</li> <li>➤ Documentation and Attachments to be submitted as mentioned below</li> </ul>
Documentation to be submitted:	Form B.1.1
Attachments to be submitted:	Documents establishing details included in Form B.1.1

<b>B.2 Pending Contract Litigation</b>	
Requirement:	<ul style="list-style-type: none"> <li>➤ Applicant shall have a positive 'Adjusted Net Worth'* determined as per the financial statements for the latest financial year (FY 2020-21 or FY 2021-22, if available), adjusted for 'Pending Contractual Litigation Value' as considered below: <ul style="list-style-type: none"> <li>• 'Pending Contractual Litigation Value' shall be deemed to be 25% of the aggregate value of pending litigation across all contracts, net of provisions made in the latest audited financial statements (FY 2020-21 or FY 2021-22, if available), which shall be deducted from the net worth to arrive at the 'Adjusted Net Worth'.</li> </ul> <p><b><i>*Adjusted Net Worth:</i></b>  <i>Net worth as per the latest financial statements less [25% of Pending Contractual Litigation Value less provisions made in the latest audited financial statements for pending contractual litigations] = Positive</i></p> </li> <li>➤ Documentation and Attachments to be submitted as mentioned below.</li> </ul> <p>In case of JV, each member shall meet the requirement.</p>
Documentation to be submitted:	Form B.2.1
Attachments to be submitted:	Documents establishing details included in Form B.2.1

<b>B.3 Contract Litigation History</b>	
Requirement:	<ul style="list-style-type: none"> <li>➤ The aggregate amount of awards against the Applicant, if any since October 01,</li> </ul>

	<p>2017, shall not exceed 25% of net worth as per the latest financial statements. (In case of JV, each member shall meet the requirement.)</p> <p>➤ Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	Form B.3.1
Attachments to be submitted:	Documents establishing details included in Form B.3.1

### C. Financial Status and Capacity

<b>C.1 Financial Status</b>	
Requirement:	<p>(i) The audited balance sheets, statements of profit &amp; loss and annual reports for the last three financial years (FY 2018-19 to FY 2020-21 or FY 2019-20 to FY 2021-22, if available) shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability in terms of:</p> <ol style="list-style-type: none"> <li>a) Positive Adjusted Net Worth for each of the last three (3) years as defined above;</li> <li>b) Profit (i.e. Profit Before Tax) for at least one (1) out of last three (3) years as defined above; and</li> <li>c) Annual Consultancy Revenue<sup>4</sup> in any one (1) of the last three (3) financial years as defined above, be not less than USD 1.16 million.</li> </ol> <p>In case of JVCA, requirement (a) and (b) above shall be met by each member and requirement under (c), each <b>member shall meet</b> at least 25%, lead member shall meet at least 40% and all members together shall meet 100%.</p> <p>(ii) Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	Form C.1.1 and Form C.1.3 both certified by the Statutory Auditors of the Applicant (in case of JV, for each member of the JV).
Attachments to be submitted:	<p>The Applicant and its parties shall provide copies of audited financial statements for the last <b>three (3)</b> years as defined above. The financial statements shall:</p> <ol style="list-style-type: none"> <li>a) reflect the financial situation of the Applicant or in case of JV for each member,</li> </ol>

<sup>4</sup>Exchange rate prevalent as on the date of Invitation for Prequalification (IFP) shall apply



	<p>and not an affiliated entity (such as parent company or group member).</p> <p>b) be independently audited and certified by the Statutory Auditors/ Chartered Accountants in accordance with applicable legislation.</p> <p>c) be complete, including all notes to the financial statements.</p> <p>d) correspond to accounting periods already completed and audited.</p>
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#### D. Experience

<b>D.1 General Experience</b>	
Requirement:	<ul style="list-style-type: none"> <li>➤ Experience under PMC/ Lender's Engineer/Owner's Engineer contracts relating to Sports Stadiums/ Integrated Sports Complexes in the role of Prime Consultant or JV member, to the main client, in India, completed during the last ten (10) years, starting from October 01, 2012 and <b>up to application submission deadline</b>. (In case of JV, each member shall meet the requirement.)</li> <li>➤ Documentation and Attachments to be submitted as mentioned below.</li> </ul>
Documentation to be submitted:	Form D.1.1
Attachments to be submitted:	<p>Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the Project Authority for each contract listed in Form D.1.1 giving, inter alia, details of scope and value of work executed by the Applicant, contract start and completion dates.</p> <ul style="list-style-type: none"> <li>➤ In case the contracts listed in Form D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</li> </ul> <p>Subconsultants' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</p>

D.2 Specific Experience	Refer Part I – Scope of PMC																		
Requirement:	<p><b>1.</b> At least the number and value of Projects relating to Sports Stadiums/ Integrated Sports Complexes as mentioned below, for which the Applicant has undertaken PMC contracts as a prime consultant, or joint venture member<sup>5</sup> to the main client/project authority, that have been completed between October 01, 2012 and application submission deadline:</p> <table border="1" data-bbox="608 618 1377 913"> <thead> <tr> <th></th> <th>No. of Projects</th> <th>Projects with seating capacity (No. Of seats)</th> </tr> </thead> <tbody> <tr> <td>Option I</td> <td>3</td> <td>4000</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option II</td> <td>2</td> <td>5000</td> </tr> <tr> <td colspan="3" style="text-align: center;">OR</td> </tr> <tr> <td>Option III</td> <td>1</td> <td>8000</td> </tr> </tbody> </table> <p><b>2.</b> The PMC scope under the above contracts shall collectively or under other completed contracts between August 1, 2012 and application submission deadline, demonstrate experience involving the following:</p> <ul style="list-style-type: none"> <li>(i) At least one contract of "outdoor/field sports" stadium for sports such as football, hockey, cricket, etc. involving synthetic turf/track.</li> <li>(ii) At least one contract of Indoor Sports Stadium with minimum <ul style="list-style-type: none"> <li>(A) Seating capacity of 500 seats</li> <li>or</li> <li>(B) Floor area of 1500 sq.m.</li> </ul> </li> </ul> <p>In case of JVCA, the technical aspects listed in 2 (i) and 2 (ii) above, may be considered on combined basis for meeting the experience required at 2 above.</p> <p><b>3.</b> In case of JVCA, the requirement shall be met as under:</p> <ul style="list-style-type: none"> <li>a) Eligibility in terms of project Value shall be met fully by any of the JVCA member, and cannot be aggregated.</li> </ul>		No. of Projects	Projects with seating capacity (No. Of seats)	Option I	3	4000	OR			Option II	2	5000	OR			Option III	1	8000
	No. of Projects	Projects with seating capacity (No. Of seats)																	
Option I	3	4000																	
OR																			
Option II	2	5000																	
OR																			
Option III	1	8000																	

<sup>5</sup> Experience shall be considered on the basis of scope of work of the Applicant in that JV for the contract under reference.

**Part IV. Prequalification Criteria and Requirements**

	<p>b) Contracts meeting above criterion by members of the JVCA may be aggregated to meet the criterion on number of contracts.</p> <p>c) In addition to the above, each member of JVCA shall demonstrate experience of at least one contract of 50% of the project size indicated under Option I of 1 above.</p> <p>4. In case, completed project cited by an Applicant was supervised as member of JV/ Consortium/ JVCA, credentials for same shall be considered based on role and responsibility of the Applicant under the contract.</p> <p>5. Sub-consultants' experience and resumes, if any, submitted with the application shall not be taken into account in determining the Applicant's compliance.</p> <p>6. Documentation and Attachments to be submitted as mentioned below</p>
Documentation to be submitted:	Form D.2.1
Attachments to be submitted:	<p>➤ Final Acceptance/ Completion Certificate/ Testimonial issued by the employer for each contract listed in Form D.2.1.</p> <p>In case the contracts listed in Form D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles, responsibilities and scope and value of work.</p>

<b>E.3 Skilled Human Resources</b>					
<b>Requirement:</b>					
Applicant need to deploy the personnel for the key positions that meet the following requirements:					
SrNo	Position	Nos	Qualification	Total Work Experience (years)	Experience in similar work (years)*
<b>A</b>	<b>Review of the Design</b>				
1	Principal Architect cum Team Leader	1	Bachelor Degree in Architecture	25 Years	15 Years
2	Architecture & Technical Design Expert	1	Bachelor Degree in Architecture	25 Years	15 Years
3	Structural Engineer	1	M.Tech/M.E. in Structural Engineering	25 Years	10 Years
4	Design Electrical Engineer.	1	B.Tech/B.E. in Electrical Engineering	10 Years	5 Years
5	MEP/HVAC Expert	1	B.Tech/B.E. in Mechanical Engineering	10 Years	5 Years
<b>B</b>	<b>Project Management</b>				
7	Team Leader	1	B.Tech/B.E. in in Civil Engineering	20 Years	10 Years
8	Civil Engineer (On Site)	3	B.Tech/B.E. in Civil Engineering	10 Years	5 Years
9	Services Engineer (On Site)	1	B.Tech/B.E. in in Electrical/Mechanical Engineering	10 Years	5 Years
10	Project Scheduler	1	Undergraduate Degree in Related Field	5 Years	3 Years
11	HSE/Safety Engineer(On Site)	1	Undergraduate Degree in Related Field	5 Years	-
<b>Requirement:</b>		Applicant must demonstrate that it can deploy the necessary personnel or requisite skills/experience for key positions.			

Documentation to be submitted:	Form E.3.1
Attachments to be submitted:	None

**F. Confirmation of eligibility under Public Procurement Orders**

<b>F.1 Confirmation of eligibility under Public Procurement Orders</b>	
Requirement:	Applicant to confirm their eligibility for the prequalification according to the provisions of Public Procurement Orders No. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India
Documentation to be submitted:	Form F.1.1

**NOTE:**

The Evaluation Criteria for Prequalification of Applicants		
Sr. No.	Description	Remarks
A.	General	Evaluation on Pass/ Fail basis
B.	Past Contract Performance and Litigation	Evaluation on Pass/ Fail basis
C.	Financial Status and Capacity	Evaluation on Pass/ Fail basis
D.	Experience	Evaluation on Pass/ Fail basis
E.	Skilled Human Resources	Evaluation on Pass/ Fail basis
F.	Confirmation of Eligibility under Public Procurement Orders	Evaluation on Pass/ Fail basis

# Part V. Forms

## Table of Forms

Application Submission Form
Checklist for Various Forms and Documents to be Submitted
Applicant Information Form
Applicant's JV Member's Information Form
Past Contract Non-Performance, Pending Litigation and Litigation History
Financial Status and Capacity
Annual Consultancy Turnover
General Experience
Specific Experience
Skilled Human Resources
Confirmation of eligibility under Public Procurement Orders

## Application Submission Form

Date: *[insert day, month, and year]*  
IFP No. and title: *[insert IFP number and title]*

To: *[insert full name of Bank]*

We, the undersigned, apply to be prequalified for the referenced IFP and declare that:

- (a) We have examined and have no reservations to the Application Document, including Corrigendum No(s).\_\_\_\_\_, issued in accordance with Instructions to Applicants (ITA) 7: *[insert the number and issuing date of each corrigendum or "nil" if no corrigendum is issued]*.
- (b) We meet the eligibility requirements as stated in ITA 4.1, we have not been currently blacklisted/debarred/ sanctioned in accordance with ITA 4.5. Subsequent to the deadline for Application submission, in case we are blacklisted/debarred/sanctioned, we shall immediately inform Exim Bank of such blacklisting/debarment/sanction and reasons thereof, in accordance with ITA 4.5;
- (c) We confirm that we are currently not under default on any loan to any Bank/FI and our account has not been classified as Non-Performing Asset (NPA) with any Bank/FI. We further confirm that none of our companies/promoters/directors appear in RBI Caution List, RBI Willful Defaulter List (Suit filed as well as non-suit filed) Credit Information Bureau India Ltd. (CIBIL) Defaulter List, and any other negative list of central and state government agencies, updated from time-to-time. On being included in any of the above lists, we shall immediately inform Exim Bank on the inclusion and reason for inclusion thereof, in accordance with ITA 4.7;
- (d) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for subsequent contract(s) subject to this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 23.1.
- (e) We hereby confirm that we have not appointed any agent and we have not paid any agency commission for this Prequalification process. If prequalified, we also confirm that we will not appoint any agent and nor will we pay any agency commission for the corresponding tendering process or execution of the contract.
- (f) We further declare that we are/ are not (*strike off whichever is not applicable*) facing any investigation by any Government procuring entity/ corporation or Multilateral Development Agencies (MDA). (If yes, please provide details in a separate sheet, as necessary.)



- (e) All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief. We understand that misrepresentation of facts in our Application and contravention to ITA 3, inter alia, may lead to rejection of our Application/ cancellation of prequalification.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person(s) signing the Application]*

In the capacity of *[insert capacity of person(s) signing the Application]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, all members shall sign. Failure to comply with this requirement may result in rejection of Application]

Encl: Checklist of forms and documents

## Checklist for Various Forms and Documents to be submitted

Form No.	Details of Forms and Documents	Page no.
	NEFT acknowledgement receipt towards payment of Processing Fee	
A.1.1	Applicant Information Form	
	1. Articles & Memorandum of Association	
	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration	
	3. PAN and GST Registration Details	
	4. Letter of intent to form JV or JV agreement, in case of JV	
	5. Organizational chart	
	6. List of Board of Directors with their complete designation in case of nominee directors	
	7. The beneficial ownership with respective shareholding and nationality of shareholders	
	8. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
	9. A copy of relevant Company Board Resolution referred to in item No. 8 above	
A.1.2 *	Applicant's JV Member's Information Form [in case Applicant is a JV]	
*	1. Articles & Memorandum of Association of JV Member	
*	2. Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration, of the JV Member	
*	3. PAN and GST Registration Details of the JV Member	
*	4. Organizational chart of the JV Member	
*	5. List of Board of Directors with their complete designation in case of nominee directors of the JV Member	
*	6. The beneficial ownership with respective shareholding and nationality of shareholders of the JV Member	
*	7. A copy (self-attested on all pages) of Power of Attorney in favour of the person who has been authorised, through an appropriate Company Board Resolution or equivalent document, to sign on behalf of the Applicant	
*	8. A copy of relevant Company Board Resolution referred to item No. 7 above.	
B.1.1*	Non-Performed Contracts	

Part V. Forms

*	1. Documents establishing details included in Form B.1.1	
B.1.2 *	Pending Litigation	
*	1. Documents establishing details included in Form B.2.1	
B.1.3 *	Litigation History	
*	1. Documents establishing details included in Form B.3.1	
C.1.1*	Financial Status & Capacity, certified by the Statutory Auditors of the Applicant	
*	1. Audited Annual Reports for the last 3 years	
*	2. Statement of Consolidated Credit Facilities (sanctioned, utilised and available) from Lead Banker or respective Bank statements duly attested by the respective banks.	
C.1.3 *	Annual Consultancy Turnover, certified by the Statutory Auditors of the Applicant	
D.1.1*	General Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.1.1	
*	2. In case the contracts listed in D.1.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
D.2.1 *	Specific Experience	
*	1. Final Acceptance Certificate/ Completion Certificate/ Testimonial Letters issued by the employer for each contract listed in Form D.2.1	
*	2. In case the contracts listed in D.2.1 are executed by the Applicant as a member of JV, a copy of Agreement between Project Authority and JV to substantiate Individual JV member's delineated roles and responsibilities and scope and value of work.	
E.1.1	Skilled Human Resources (Undertaking to deploy list of dedicated Human resources)	
F.1.1	Confirmation of eligibility under Public Procurement Orders	
Part VI. *	Questionnaire for Applicants	

Note :i. Please insert page numbers

ii. Documents marked '\*' to be submitted for each JV member separately.

# Form A.1.1

## Applicant Information Form

*[To be filled by Applicant if being a Single Entity or as Lead member of JV]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant's name <i>[insert full name]</i>
In case of Joint Venture (JV), name of each member: <i>[insert full name of each member in JV]</i>
Applicant's country* of registration: <i>[indicate country of Constitution]</i>
Applicant's actual year of incorporation: <i>[indicate year of Constitution]</i>
Applicant's legal address [registered in India]: <i>[insert street/ number/ town or city/ country]</i>
Applicant's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
1. Attached are copies of original documents of <input type="checkbox"/> Articles & Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.4. <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.3.
2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors with their complete designation in case of nominee directors, and the beneficial ownership with respective shareholding and nationality of shareholders.

\* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

## Form A.1.2

# Applicant's JV Member's Information Form

*[The following form is in addition to Form A.1.1 (in case the Applicant is a JV), and shall be completed separately to provide information relating to each JV member]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Applicant name: <i>[insert full name of the JV]</i>
Applicant's JV Member's name: <i>[insert full name of Applicant's JV Member]</i>
Applicant's JV Member's country* of registration: <i>[indicate country of registration]</i>
Applicant's JV Member's date of constitution: <i>[indicate date of constitution in dd/mmm/yyyy]</i>
Applicant's JV Member's legal address registered in India: <i>[insert street/ number/ town or city/ country]</i>
Applicant's JV Member's authorized representative information Name: <i>[insert full name]</i> Address: <i>[insert street/ number/ town or city/ country]</i> Telephone/Fax numbers: <i>[insert telephone/fax numbers, including country and city codes]</i> E-mail address: <i>[indicate e-mail address]</i>
<ol style="list-style-type: none"> <li>1. Attached are copies of original documents of Articles &amp; Memorandum of Association and Certificate of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.4.</li> <li>2. Included are the PAN, GST Registration details, organizational chart, a list of Board of Directors, and the beneficial ownership.</li> </ol>

\* Note: Applicant's attention is drawn to ITA 4.1 pursuant to which this prequalification process is open to only Indian entities.

## Form B.1.1/ B.2.1/ B.3.1

# Past Contract Non-Performance, Pending Litigation and Litigation History

*[Each of the following tables shall be filled in for the Applicant and for each member of a Joint Venture]*

Applicant's Name: *[insert full name]*  
 Date: *[insert day, month, year]*  
 Joint Venture Member Name: *[insert full name]*  
 IFP No. and title: *[insert IFP number and title]*  
 Page *[insert page number]* of *[insert total number]* pages  
 Information pertaining to: *[Insert name of JV Leader or JV Member/s]*

<b>Form B.1.1</b>			
Non-Performed Contracts in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.1.			
<input type="checkbox"/> Contract(s) not performed since the date specified in Part IV, Prequalification Criteria and Requirements, requirement B.1			
Year	Non-performed portion of Contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert financial year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, date, value and any other identification]</i>  Name of Employer: <i>[insert full name]</i>  Address of Employer: <i>[insert street/city/country]</i>  Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>

<b>Form B.2.1</b>				
Pending Litigation, in accordance with Part IV, Prequalification Criteria and Requirements				
<input type="checkbox"/> No pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2				
<input type="checkbox"/> Pending litigation in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.2 as indicated below.				
Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)	Amount of specific provision already made, if any
<i>[insert financial year]</i>	<i>[insert amount]</i>	Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i>  Name of Employer: <i>[insert full name]</i>  Address of Employer: <i>[insert street/city/country]</i>  Matter in dispute: <i>[indicate main issues in dispute]</i>  Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i>  Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>	<i>[insert amount in USD equivalent and specify exchange rate]</i>

<b>Form B.3.1</b>			
Litigation History, in accordance with Part IV, Prequalification Criteria and Requirements			
<input type="checkbox"/> No litigation since the date specified in Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3.			
<input type="checkbox"/> Litigation Awards in accordance with Part IV, Prequalification Criteria and Requirements, Sub-Factor B.3 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert financial year]</i>	<i>[insert percentage]</i>	Contract Identification: <i>[indicate complete contract name, number, date, value and any other identification]</i>  Name of Employer: <i>[insert full name]</i>  Address of Employer: <i>[insert street/city/country]</i>  Matter in dispute: <i>[indicate main issues in dispute]</i>  Party who initiated the dispute: <i>[indicate "Employer" or "Consultant"]</i>  Result of dispute: <i>[Indicate if resolution was treated by the Adjudicator, under Arbitration or dealt with by the Judiciary and whether resolved in favour or against the Applicant]</i>	<i>[insert amount in USD equivalent and specify exchange rate and date]</i>



# Form C.1.1

## Financial Status and Capacity

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

### 1. Financial data

Type of Financial information in	Historic information for previous three (3) years, <i>(amount in Indian Rupees)</i>			
	FY 20XX	FY 20XX	FY 20XX	FY 20XX
<b>Sources of Fund</b>				
Share Holder's Fund				
Loan Fund				
<b>Application of Fund</b>				
Fixed Assets				
Investments				
Net Current Assets				
(i) Current assets, loans and advances				
Less: (ii) Current liabilities & provisions				
Misc. exp. to the extent not W/Off or adjusted				
Profit and Loss Account				
<b>Net Worth</b>				
Net Worth				
<b>Information from Income Statement</b>				
Income				
Expenditure				
Profit/(Loss) Before Tax (PBT)				
Profit/(Loss) After Tax (PAT)				
<b>Information From Cashflow Statement</b>				
Cash Flow from Operating Activities				
Cash Flow from Investments				

Cash Flow from Financing Activities			
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Registration No/ Membership No:  
(of the Statutory Auditors of the Applicant/each JV member)

Stamp

Date:

Place:

UDIN:

## Form C.1.3

### Annual Consultancy Turnover

*[The following table shall be filled in for the Applicant and for each member of a Joint Venture and shall be certified by the Statutory Auditors. Failure to comply with this requirement may result in rejection of the Application]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV leader or JV member/s]*

Annual Consultancy Revenue Data							
Year	Consultancy Revenue Amount (INR)			Total Revenue	Consultancy Revenue as % of Total Revenue	Exchange Rate* (v/s USD)	Consultancy Revenue in USD equivalent
	By Contracts in India	By Overseas Contracts	Total Consultancy Revenue #				
	[A]	[B]	C = [A+B]				

\* Refer ITA 13.2 for source of exchange rate. Exchange rate prevalent on 31<sup>st</sup> March shall be used to calculate revenue for respective financial year

# Total Consultancy Revenue would include revenues from all consultancy contracts including PMC contracts.

Registration No/ Membership No:

Stamp

(of the Statutory Auditors of the Applicant/each JV member)

Date:

Place:

UDIN:

## Form D.1.1

### General Experience

*[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]*

Applicant's Name: *[insert full name]*  
 Date: *[insert day, month, year]*  
 Joint Venture Member Name: *[insert full name]*  
 IFP No. and title: *[insert IFP number and title]*  
 Page *[insert page number]* of *[insert total number]* pages  
 Information pertaining to: *[Insert name of JV Leader or JV member/s]*

*[Identify contracts that demonstrate similar experience in India pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.1. List contracts chronologically, according to their commencement (starting) dates and attach Final Acceptance Certificates.]*

Contract Identification	Start Date	Initial Contractual End Date	Actual Completion Date and Reasons for delay, if any	Role of Applicant
Contract name: <i>[insert full name]</i> Brief Description of the works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[indicate date as dd/mmm/yyyy]</i>	<i>[indicate date as dd/mmm/yyyy]</i>		<i>[insert "Prime Consultant" or "JV Member"]</i>

**Part V. Forms**

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\* Refer ITA 13.2 for date and source of exchange rate

Final Acceptance Certificate / Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion.

## Form D.2.1

### Specific PMC Experience

*[The following table shall be filled in for contracts completed by the Applicant, and each member of a Joint Venture, as applicable, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor D.2.]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member Name: *[insert full name]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

Information pertaining to: *[Insert name of JV Leader or JV member/s]*

#### Information

Similar Contract No.	<i>[insert number]</i> of <i>[insert number of similar contracts required]</i> <b>[Note: Max 5 contracts can be entered in number of similar contracts]</b>		
Contract Identification	<i>[insert contract name and number, if applicable]</i>		
Contract date	<i>[dd/mmm/yyyy]</i>		
Completion date	<i>Contractual: [dd/mm m/yyyy]</i>	<i>Actual: [dd/mmm/yyyy]</i>	
Role in Contract <i>[check the appropriate box]</i>	Prime Consultant <input type="checkbox"/>	Member in JV <input type="checkbox"/>	
Total Contract Amount	<i>[insert total contract amount in contract currency]</i>	US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>	
If member in a JV, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Employer Details	Employer's Name: Address: Telephone, fax number, E-mail:		
Description of the similarity in accordance with Sub-Factor D.2 of Part IV:	<i>[Name the Sector/ Sub-sector][Briefly mention the similarity in terms of sectoral characteristics]</i>		
Brief Scope of the Services as per Contract			
Narration of the Actual Services Rendered			
Key Staff Positions and Names of Staff			
Total Staff Months by your firm			

**Part V. Forms**

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Total Staff Months by Associate firm (if applicable)	

\* Refer ITA 13.2 for date and source of exchange rate.

Final Acceptance Certificate/Completion Certificate / Testimonial Letters issued by the employer to be attached for each contract, in an orderly fashion.

# Form E.1.1

## Skilled Human Resources

*[Furnish details for key staff pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor E.1 In case of JVCA, this shall be on a combined basis]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

We, *[insert Applicant's full name and in case of JVCA, insert names of all members]* hereby undertake, in the event that we are prequalified and invited to submit a bid, to arrange and deploy key staff meeting the experience and qualification requirements, listed in E.1. :

Position	Name of key staff on rolls	Academic Qualification	Specialization	Years of Experience	Experience in Countries

*[To be attested by the authorized representative of the Applicant and in case of a JVCA, by the lead member on behalf of the JV members]*



## Form F.1.1

# Confirmation of eligibility under Public Procurement Orders

*[The following certificate to be given by the Applicant, pursuant to Part IV, Prequalification Criteria and Requirements, Sub-Factor F.]*

Applicant's Name: *[insert full name]*

Date: *[insert day, month, year]*

IFP No. and title: *[insert IFP number and title]*

Page *[insert page number]* of *[insert total number]* pages

This is to confirm that the Applicant has read the Public Procurement Orders No. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India regarding restrictions on procurement from a bidder of a country which shares a land border with India, and it is further confirmed that the Applicant fulfills all requirements in this regard and is eligible to be considered for the prequalification process.

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*(Signature)*

*[Name of Authorized Signatory]*

*[Title of Authorized Signatory]*

Date:

## Part VI. Questionnaire for Applicants

Name of Applicant: *[In case of JV, to be filled in each partner separately]*

IFP No.: *[insert IFP No.]*

<b><u>Eligibility, Confirmation and Other Important Information</u></b>	<b><u>Response*</u></b>
1. Is your firm, either singly or in joint venture currently empanelled or prequalified as a PMC. If yes, please provide details of Client, Country and Scope of PMC. Attach additional sheets, if needed.	Confirm: Yes / NO
2. Export-Import Bank of India (Bank) requires that Applicants and their affiliates observe the highest standard of ethics during the selection and execution of contracts. In this context, any action taken by an Applicant or its affiliate to influence the prequalification process for undue advantage is improper. Confirm that Applicant and its JV partners, if applicable, have not engaged in any unethical practices in competing for this prequalification.	Confirm: Yes  No
3. Confirm that no official of the Bank has received or will be offered by your firm/organization or agents, any direct or indirect benefit arising from this prequalification or any resulting contracts.	Confirm: Yes  No
4. Has your firm been blacklisted or suspended or debarred by any Multilateral Agency, or any government or government procuring entity, or a UN agency? If Yes, provide details, including date of reinstatement, if applicable. Attach additional sheets, if needed.	Yes  No
5. Has your firm's account been classified as Non-Performing Asset (NPA) with any Bank/FI or your companies/ promoters/ directors appear in Reserve Bank of India (RBI) Caution List, RBI Wilful Defaulter List (Suit filed as well as non-suit filed), Credit Information Bureau India Ltd. (CIBIL) Defaulter List or any other negative list of central and state government agencies, updated from time to time? If yes, please provide details in a separate sheet, as necessary.	Yes  No
6. Has your firm/organization ever filed or petitioned for bankruptcy? (If Yes, furnish details of the case including filing date and current status.). Attach additional sheets, if needed.	Yes  No
7. Has your firm/ any JV partner been penalized for delay in contractual performance in the last 5 years prior to Application submission deadline. If yes, please provide details in a separate sheet, as necessary.	Yes  No
8. Has there been a termination of your contract for non-performance in the last 5 years prior to the month preceding the month of Application Submission Deadline? If Yes, please describe in detail in a separate sheet, as necessary.	Yes  No
9. If prequalified and subsequently awarded a contract by the Borrower Government, would your firm/ JV be willing (i) to work in accordance with the Borrower country laws and regulations	Confirm:  Yes / NO

Part V. Forms

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(ii) to submit requisite securities as per contract conditions (iii) to be responsible for the performance of the work executed by your firm (iv) to allow scrutiny of your work, related accounts, documentation by the Borrower/ Bank/ Government of India	Yes / NO Yes / NO Yes / NO
10. Please confirm that you agree to the provisions of the Government of India guidelines dated 31 <sup>st</sup> March 2022 on IDEAS Lines of Credit and Public Procurement Orders No. 1 & 2 dated July 23, 2020 and Public Procurement Order No. 3 dated July 24, 2020 issued by the Public Procurement Division of the Department of Expenditure, Ministry of Finance, Government of India.	Confirm: YES

*\* Note: To confirm, print "Yes", else "No"*

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*(Signature)*

*[Name of Authorized Signatory]*

*[Title of Authorized Signatory]*

**Date:**